# Normal variation for adaptive feature size 

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September 17, 2007

## Background

Let $\Sigma$ be a closed, smooth surface in $\mathbb{R}^{3}$. For any two sets $X, Y \subset \mathbb{R}^{3}$, let $d(X, Y)$ denote the Euclidean distance between $X$ and $Y$. The local feature size $f(x)$ at a point $x \in \Sigma$ is defined to be the distance $d(x, M)$ where $M$ is the medial axis of $\Sigma$. Let $n_{p}$ denote the unit normal (inward) to $\Sigma$ at point $p$. Amenta and Bern in their paper [1] claimed the following:

Claim 1 Let $q$ and $q^{\prime}$ be any two points in $\Sigma$ so that $d\left(q, q^{\prime}\right) \leq \varepsilon \min \left\{f(q), f\left(q^{\prime}\right)\right\}$ for $\varepsilon \leq \frac{1}{3}$. Then, $\angle n_{q}, n_{q^{\prime}} \leq \frac{\varepsilon}{1-3 \varepsilon}$.

Unfortunately, the proof of this claim as given in Amenta and Bern [1] is wrong; it also appears in the book by Dey [2]. In this short note, we provide a correct proof with an improved bound of $\frac{\varepsilon}{1-\varepsilon}$.

Theorem 2 Let $q$ and $q^{\prime}$ be two points in $\Sigma$ with $d\left(q, q^{\prime}\right) \leq \varepsilon f(q)$ where $\varepsilon \leq \frac{1}{3}$. Then, $\angle n_{q}, n_{q^{\prime}} \leq \frac{\varepsilon}{1-\varepsilon}$.

## Definitions and Preliminaries

For any point $p \in \mathbb{R}^{3}$, let $\tilde{p}$ denote the closest point of $p$ in $\Sigma$. When $p$ is a point in $\Sigma$, the normal to $\Sigma$ at $p$ is well defined. We extend this definition to any point $p \in \mathbb{R}^{3}$. Define the normal $n_{p}$ at $p \in \mathbb{R}^{3} \backslash M$ as the normal to $\Sigma$ at $\tilde{p}$. Similarly, we extend the definition of local feature size $f$ to $\mathbb{R}^{3}$. For any point $p \in \mathbb{R}^{3}$, let $f(p)$ be the distance of $p$ to the medial axis of $\Sigma$. Notice that $f$ is 1 -Lipschitz. If two points $x$ and $y$ lie on a surface $F \subset \mathbb{R}^{3}$, let $d_{F}(x, y)$ denote the geodesic distance between $x$ and $y$. The following facts are well known in differential geometry.

[^0]Proposition 3 Let $F$ be a smooth surface in $\mathbb{R}^{3}$. Let $q$ and $q^{\prime}$ be two points in $F$. Then,

$$
\lim _{d \rightarrow 0} \frac{d_{F}\left(q, q^{\prime}\right)}{d\left(q, q^{\prime}\right)}=1
$$

Proposition 4 Consider the geodesic path between $q, q^{\prime}$ on a smooth surface $F$ in $\mathbb{R}^{3}$. Let $\kappa_{m}$ be the maximum curvature on this geodesic path. Then $\angle n_{q}, n_{q^{\prime}} \leq$ $\kappa_{m} d_{F}\left(q, q^{\prime}\right)$.

## The Proof

We are to measure $\angle n_{q}, n_{q^{\prime}}$ for two points $q$ and $q^{\prime}$ in $\Sigma$. One approach would be to use the propositions above to bound the length of a path from $p$ to $q$ on $\Sigma$ and then use that length to bound the change in normal direction, but we can get a better bound by considering the direct path from $p$ to $q$.

Let $\Sigma_{\omega}$ denote an offset of $\Sigma$, that is, each point in $\Sigma_{\omega}$ has distance $\omega$ from $\Sigma$. Formally, consider the distance function

$$
h: \mathbb{R}^{3} \rightarrow \mathbb{R}, \quad h(x) \mapsto d(x, \Sigma)
$$

Then, $\Sigma_{\omega}=h^{-1}(\omega)$.
Claim 5 For $\omega \geq 0$ let $p$ be a point in $\Sigma_{\omega}$ where $\omega<f(\tilde{p})$. There is an open set $U \subset \mathbb{R}^{3}$ so that $\sigma_{p}=\Sigma_{\omega} \cap U$ is a smooth 2-manifold which can be oriented so that $n_{x}$ is the normal to $\sigma_{p}$ at any $x \in \sigma_{p}$.

Proof. Since $\omega<f(\tilde{p}), p$ is not a point on the medial axis. Therefore, the distance function $h$ is smooth at $p$. One can apply the implicit function theorem to claim that there exists an open set $U \subset \mathbb{R}^{3}$ where

$$
\sigma_{p}=h^{-1}(\omega) \cap U
$$

is a smooth 2-manifold. The unit gradient $\left(\frac{\nabla h}{\|\nabla h\|}\right)_{x}=\frac{x-\tilde{x}}{\|x-\tilde{x}\|}$ which is precisely $n_{x}$ up to orientation is normal to $\sigma_{p}$ at $x \in \sigma_{p}$.

Proof. [Proof of Theorem 2] Consider parameterizing the segment $q q^{\prime}$ by the length of $q q^{\prime}$. Take two arbitrarily close points $p=p(t)$ and $p^{\prime}=p(t+\Delta t)$ in $q q^{\prime}$ for arbitrarily small $\Delta t>0$. Let $\theta(t)=\angle n_{q}, n_{p(t)}$ and $\Delta \alpha=\angle n_{p}, n_{p^{\prime}}$. Then, $|\theta(t+\Delta t)-\theta(t)| \leq \Delta \alpha$ giving

$$
\left|\theta^{\prime}(t)\right| \leq \lim _{\Delta t \rightarrow 0} \frac{\Delta \alpha}{\Delta t}
$$

If we show that $\lim _{\Delta t \rightarrow 0} \frac{\Delta \alpha}{\Delta t}$ is no more than $\frac{1}{(1-\varepsilon) f(q)}$ we are done since then

$$
\begin{aligned}
\angle n_{q}, n_{q^{\prime}} & \leq \int_{q q^{\prime}}\left|\theta^{\prime}(t)\right| d t \\
& \leq \int_{q q^{\prime}} \frac{1}{(1-\varepsilon) f(q)} d t \\
& =\frac{d\left(q, q^{\prime}\right)}{(1-\varepsilon) f(q)} \\
& \leq \frac{\varepsilon}{(1-\varepsilon)} .
\end{aligned}
$$

We have $d(q, \tilde{p}) \leq d(q, p)+d(p, \tilde{p})$ and $d(q, p) \leq \varepsilon f(q)$. Since also $\omega=$ $d(p, \tilde{p}) \leq d(p, q) \leq \varepsilon f(q)$, we have $\omega \leq \frac{2 \varepsilon}{1-2 \varepsilon} f(\tilde{p})$ (by a standard argument using the fact that the function $f$ is 1-Lipshitz). Therefore, $\omega<f(\tilde{p})$ for $\varepsilon<1 / 3$, and there is a smooth neighborhood $\sigma_{p} \subset \Sigma_{\omega}$ of $p$ satisfying Claim 5 .

Let $r$ be the closest point to $p^{\prime}$ in $\Sigma_{\omega}$, and let $\Delta t$ be small enough so that $r$ and the geodesic between $p$ and $r$ in $\sigma_{p}$ lies in $\sigma_{p}$. Notice that, by Claim 5, $\Delta \alpha=\angle n_{p}, n_{p^{\prime}}=\angle n_{p}, n_{r}$.

Claim $6 \lim _{\Delta t \rightarrow 0} \frac{d(p, r)}{\Delta t} \leq 1$.
Proof. Consider the triangle $p r p^{\prime}$. If the tangent plane to $\sigma_{p}$ at $r$ separates $p$ and $p^{\prime}$, the angle $\angle p r p^{\prime}$ is obtuse. It follows that $d(p, r) \leq d\left(p, p^{\prime}\right)=\Delta t$. In the other case when the tangent plane to $\sigma_{p}$ at $r$ does not separate $p$ and $p^{\prime}$, the angle $\angle p r p^{\prime}$ is non-obtuse. Let $x$ be the foot of the perpendicular dropped from $p$ on the line of $p^{\prime} r$. We have $d(p, r) \cos \alpha \leq d\left(p, p^{\prime}\right)$ where $\alpha$ is the acute angle $\angle r p x$. Combining the two cases we have $d(p, r) / \Delta t \leq \frac{1}{\cos \alpha}$. Since $\alpha$ goes to 0 as $\Delta t$ goes to 0 , we have $\lim _{\Delta t \rightarrow 0} \frac{d(p, r)}{\Delta t} \leq 1$.

Now consider the geodesic between $p$ and $r$ in $\sigma_{p}$, and let $m$ be the point on the geodesic at which the maximum curvature $\kappa_{m}$ is realized. Recall that $d_{\sigma_{p}}(p, r)$ denotes the geodesic distance between $p$ and $r$ on $\sigma_{p}$. Let $r_{m}$ be the radius of curvature corresponding to $\kappa_{m}$, i.e., $\kappa_{m}=1 / r_{m}$. Clearly, $f(m) \leq r_{m}$. So, Proposition 4 tells us that

$$
\Delta \alpha \leq \frac{d_{\sigma_{p}}(p, r)}{f(m)}
$$

Therefore,

$$
\lim _{\Delta t \rightarrow 0} \frac{\Delta \alpha}{\Delta t} \leq \lim _{\Delta t \rightarrow 0} \frac{d_{\sigma_{p}}(p, r)}{\Delta t f(m)}
$$

In the limit when $\Delta t$ goes to zero, $d_{\sigma_{p}}(p, r)$ approaches $d(p, r)$ which in turn approaches $\Delta t$ (Proposition 3 and Claim 6). Meanwhile, $d(q, m) \leq d(q, p)+$ $d(p, r)$ approaches $d(q, p) \leq \varepsilon f(q)$ as $\Delta t$ goes to zero (again by Claim 6). So, in the limit, $f(m)>(1-\varepsilon) f(q)$ (again using the fact that $f$ is 1-Lipshitz). Therefore,

$$
\lim _{\Delta t \rightarrow 0} \frac{\Delta \alpha}{\Delta t} \leq \frac{1}{(1-\varepsilon) f(q)}
$$

which is what we need to prove.

Remark: The bound on normal variation can be slightly improved to $-\ln (1-\varepsilon)$ by observing the following. We used that $d(q, p) \leq \varepsilon f(q)$ to arrive at the bound $f(m)>(1-\varepsilon) f(q)$. In fact, one can observe that $d(q, p) \leq \varepsilon t f(q)$ giving $f(m)>$ $(1-\varepsilon t) f(q)$. This gives $\left|\theta^{\prime}(t)\right| \leq \frac{1}{(1-\varepsilon t) f(q)}$. We have

$$
\angle n_{q}, n_{q^{\prime}} \leq \int_{q q^{\prime}} \frac{1}{(1-\varepsilon t) f(q)} d t=-\frac{d\left(q, q^{\prime}\right) \ln (1-\varepsilon)}{\varepsilon f(q)}=-\ln (1-\varepsilon) .
$$

Acknowledgement We thank Siu-Wing Cheng, Jian Sun, and Edgar Ramos for discussions on the normal variation problem.

## References

[1] N. Amenta and M. Bern. Surface reconstruction by Voronoi filtering. Discr. Comput. Geom. 22 (1999), 481-504.
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