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- The greedy-choice property a globally optimal solution can be arrived at by making a locally optimal (greedy) choice.
- The optimal substructure property an optimal solution to the problem contains within it optimal solution to subprograms.
- Greedy algorithms do not always yield optimal solutions, but for many problems they do.


## 0-1 knapsack problem

Problem statement:

- Given $n$ items $\{1,2, \ldots, n\}$
- Item $i$ is worth $v_{i}$, and weight $w_{i}$
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Example:

- Given

| $i$ | $v_{i}$ | $w_{i}$ | $v_{i} / w_{i}$ |
| :---: | :---: | :---: | :---: |
| 1 | 6 | 1 | 6 |
| 2 | 10 | 2 | 5 |
| 3 | 12 | 3 | 4 |

Total weight $W=5$

- Find a most valuable subset of items with total weight $\leq W=5$


## 0-1 knapsack problem

Problem statement, mathematically - version 1:
Find a subset $\mathcal{S} \subseteq\{1,2, \ldots, n\}$ such that

$$
\begin{aligned}
\text { maximize } & \sum_{i \in \mathcal{S}} v_{i} \\
\text { subject to } & \sum_{i \in \mathcal{S}} w_{i} \leq W
\end{aligned}
$$

## 0-1 knapsack problem

Problem statement, mathematically - version 2:
Let $x=\left(x_{1}, x_{2}, \ldots, x_{n}\right)$, and

$$
x_{i}= \begin{cases}1 & i \text {-th item is in the knapsack } \\ 0 & i \text {-th item is not in the knapsack }\end{cases}
$$

Then the knapsack problem is

$$
\begin{array}{ll}
\operatorname{maximize} & \sum_{i=1}^{n} v_{i} x_{i} \\
\text { subject to } & x_{i} \in\{0,1\} \\
& \sum_{i=1}^{n} w_{i} x_{i} \leq W
\end{array}
$$

## 0-1 knapsack problem

The brute-force algorithm

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- $2^{n}$ feasible solutions


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The brute-force algorithm

- $2^{n}$ feasible solutions
- Total cost $=O\left(n \cdot 2^{n}\right)$


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Three possible greedy strategies:

1. Greedy by highest value $v_{i}$

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Three possible greedy strategies:

1. Greedy by highest value $v_{i}$
2. Greedy by least weight $w_{i}$
3. Greedy by largest value density $\frac{v_{i}}{w_{i}}$

## 0-1 knapsack problem

Example

| $i$ | $v_{i}$ | $w_{i}$ | $v_{i} / w_{i}$ |
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| 1 | 6 | 1 | 6 |
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| Total weight |  |  |  |

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| 1 | 6 | 1 | 6 |
| 2 | 10 | 2 | 5 |
| 3 | 12 | 3 | 4 |
| Total weight $W=5$ |  |  |  |

Greedy by value density $v_{i} / w_{i}$ :

- take items 1 and 2.
- value $=16$, weight $=3$
- Leftover capacity $=2$


## 0-1 knapsack problem

Example

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Optimal solution

- take items 2 and 3 .
- value $=22$, weight $=5$
- no leftover capacity


## 0-1 knapsack problem

Example

| $i$ | $v_{i}$ | $w_{i}$ | $v_{i} / w_{i}$ |
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| 1 | 6 | 1 | 6 |
| 2 | 10 | 2 | 5 |
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| Total weight $W=5$ |  |  |  |

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Question: how about greedy by highest value? by least weight?

## 0-1 knapsack problem

Another example
Given the following six items with $W=100$ :

|  |  |  |  | Greedy by |  |  | optimal solution |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $i$ | $v_{i}$ | $w_{i}$ | $v_{i} / w_{i}$ | value | weight | $v_{i} / w_{i}$ |  |
| 1 | 40 | 100 | 0.4 | 1 | 0 | 0 | 0 |
| 2 | 35 | 50 | 0.7 | 0 | 0 | 1 | $\mathbf{1}$ |
| 3 | 18 | 45 | 0.4 | 0 | 1 | 0 | $\mathbf{1}$ |
| 4 | 4 | 20 | 0.2 | 0 | 1 | 1 | 0 |
| 5 | 10 | 10 | 1 | 0 | 1 | 1 | 0 |
| 6 | 2 | 5 | 0.4 | 0 | 1 | 1 | $\mathbf{1}$ |
| Total value |  |  |  |  | 40 | 34 | 51 |
| Total weight |  |  |  |  | 100 | 80 | 85 |

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Another example
Given the following six items with $W=100$ :

|  |  |  |  | Greedy by |  |  | optimal solution |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $i$ | $v_{i}$ | $w_{i}$ | $v_{i} / w_{i}$ | value | weight | $v_{i} / w_{i}$ |  |
| 1 | 40 | 100 | 0.4 | 1 | 0 | 0 | 0 |
| 2 | 35 | 50 | 0.7 | 0 | 0 | 1 | $\mathbf{1}$ |
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| 4 | 4 | 20 | 0.2 | 0 | 1 | 1 | 0 |
| 5 | 10 | 10 | 1 | 0 | 1 | 1 | 0 |
| 6 | 2 | 5 | 0.4 | 0 | 1 | 1 | $\mathbf{1}$ |
| Total value |  |  |  |  | 40 | 34 | 51 |
| Total weight |  |  |  |  | 100 | 80 | 85 |

All three greedy approaches generate feasible solutions, but none of them generate the optimal solution. Greedy algorithms doesn't work for the 0-1 knapsack problem!

