

Stratovan■ FRACTIONAL CALCULUS AND FEATURES - Cont'd.

• Fractional calculus: (iii) Caputo derivative,  $n = \lceil \alpha \rceil$ :

$$\underline{D_x^\alpha f(x) = \frac{1}{\Gamma(n-\alpha)} \int_0^x (x-\tilde{x})^{n-\alpha-1} f^{(n)}(\tilde{x}) d\tilde{x}}$$

The CAPUTO derivative is local and is 0 for a constant function.

• Note: Unlike the RL derivative, the Caputo derivative is zero for a constant function, and the Caputo derivative is Local.

(iv) RL derivative for intervals  $[a, x]$  and  $[x, b]$  - slightly rewritten:

$$\underline{{}_a D_x^\alpha f(x) = \frac{d^n}{dx^n} \left( \frac{1}{\Gamma(n-\alpha)} \int_a^x (x-\tilde{x})^{n-\alpha-1} f(\tilde{x}) d\tilde{x} \right)}$$

$$\underline{{}_x D_b^\alpha f(x) = \frac{d^n}{dx^n} \left( \frac{1}{\Gamma(n-\alpha)} \int_x^b (x-\tilde{x})^{n-\alpha-1} f(\tilde{x}) d\tilde{x} \right)}$$

RL derivatives are based on "time" intervals  $[a, x]$  and  $[x, b]$  and are not 0 for a constant function.

• Note: In the context of a time-dependent "signal"  $f(x)$ , considering the interval  $[a, x]$  in the computation of the fractional derivative is motivated by the thought that derivative behavior observed depends on a time interval  $[a, x]$  and not just time point  $x$ .

● SINCE ONE CAN DEFINE FRACTIONAL DERIVATIVES IN MANY WAYS, A "GOOD DEFINITION" IS ONE THAT SOLVES A PROBLEM WELL.

■ FRACTIONAL CALCULUS AND FEATURES - Cont'd.

• Fractional derivatives:

Given: 2D/3D  
image/scan of  
intensity values

Compute:

- Local polynomial approximations
- 1<sup>st</sup> and 2<sup>nd</sup> derivative data...
- ADDITIONAL FRACTIONAL DERIVATIVES OF ORDER  $\alpha$ ,  $0 < \alpha < 2$

FILTER MASKS:  
DEVISE AND USE  
DISCRETE FILTER  
MASKS TO COMPUTE  
FRACTIONAL DERIVATIVES DIRECTLY  
FROM PIXEL/VOXEL  
DATA!

Our goal is the definition of features of 2D/3D/4D images and scans that support an increasingly accurate classification of objects/materials. Typically, the given data are intensity data representing object/material densities. Standard image processing and analysis methods consider intensity values, first derivative estimates, second derivative estimates etc. to characterize a material (its density, its boundary, its "texture"...). Fractional calculus makes it possible to define and compute "many fractional derivative-based feature data", e.g., "derivatives between the 0<sup>th</sup> and 1<sup>st</sup> derivative" or "derivatives between the 1<sup>st</sup> and 2<sup>nd</sup> derivative." Such additional data, used to define more feature points/vectors, should make possible improved classification of objects/materials that are very similar.

For example, the RL and Caputo fractional derivatives can be used to compute fractional derivatives of local polynomial image/scan intensity approximations. Further, one can determine the local pixel/voxel neighborhood masks to compute these derivatives.

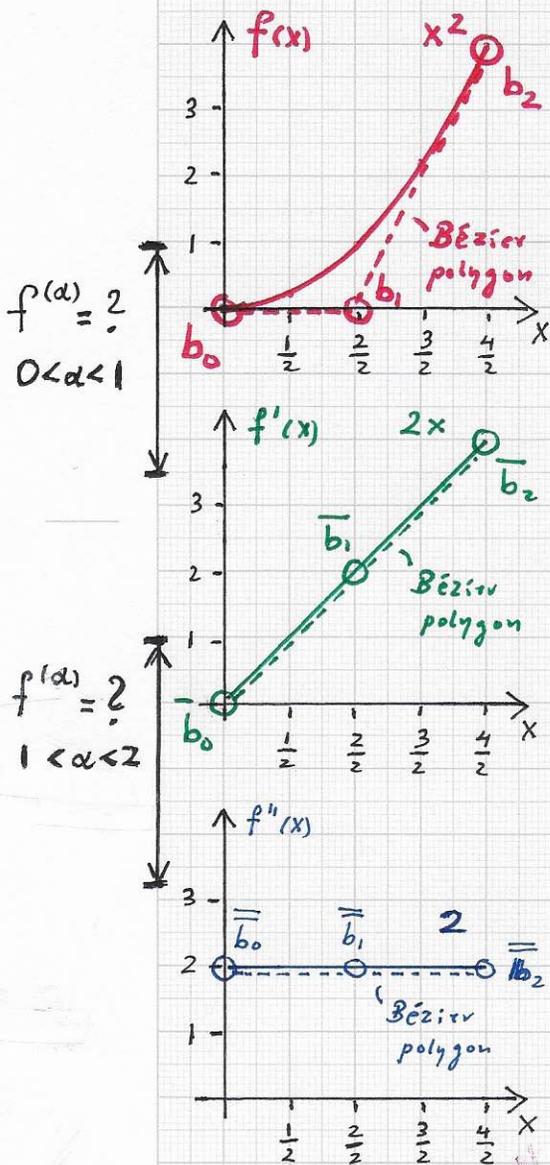
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FRACTIONAL CALCULUS AND FEATURES - Cont'd.

Fractional derivatives:

Fractional derivative operators (RL, Caputo etc.) can be viewed as operators that smoothly blend from 0<sup>th</sup> derivative to 1<sup>st</sup> derivative, or from 1<sup>st</sup> derivative to 2<sup>nd</sup> derivative. Since the traditional fractional derivative operators are relatively "expensive" to compute, one can explore the possibility to simply perform piecewise linear blending between the integer-order derivatives of a function. This could be done, for example, by representing the integer-order derivatives of a (polynomial) function in Bernstein-Bézier form and blending the Bernstein-Bézier coefficients ("control points") in a piecewise linear fashion when blending  $i^{\text{th}}$  and  $(i+1)^{\text{th}}$  derivatives. (Each polynomial integer-order derivative can be represented with the same number of control points / coefficients via degree raising.)

The viability of such a blending method can be determined by comparing this method's blended results to those of the RL or Caputo results.



$f^{(\alpha)} = x^2$   
 $0 < \alpha < 1$

$f^{(\alpha)} = 2x$   
 $1 < \alpha < 2$

Integer-order derivatives of  $f(x) = x^2$ . All 3 polynomial derivatives raised to degree 2, i.e., each derivative represented with 3 polynomial coefficients,

$\{b_i\}, \{\bar{b}_i\}, \{\bar{\bar{b}}_i\},$   
 $i=0 \dots 2.$

■ FRACTIONAL CALCULUS AND FEATURES - Cont'd.

• Fractional derivative: Ex: CAPUTO derivatives of  $f(x)=1$ ,  $f(x)=x$ ,  $f(x)=x^2$ ; fractional orders  $0, \frac{1}{2}, 1, \frac{3}{2}, 2$   
 [abbreviated notation:  $f^{(\alpha)}(x) = D_x^\alpha f(x)$ ]

**CAPUTO derivatives of low-degree polynomials**

(i)  $f(x) = 1$

$$\begin{aligned} \underline{f^{(\alpha)}(x)} &= \frac{1}{\Gamma(n-\alpha)} \int_0^x (x-\tilde{x})^{n-\alpha-1} \cdot f^{(n)}(\tilde{x}) d\tilde{x} \\ &= \frac{1}{\Gamma(n-\alpha)} \int_0^x (x-\tilde{x})^{n-\alpha-1} \cdot 0 d\tilde{x} = 0 \end{aligned}$$

(ii)  $f(x) = x$

⊛  $\alpha = 0$ :  $f^{(0)} = \frac{1}{\Gamma(1)} \int_0^x (x-\tilde{x})^0 \cdot f^{(1)}(\tilde{x}) d\tilde{x}$   
 $= \frac{1}{\Gamma(1)} \int_0^x 1 \cdot 1 d\tilde{x} = x$

$\alpha = \frac{1}{2}$ :  $f^{(1/2)} = \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} \cdot f^{(1)}(\tilde{x}) d\tilde{x}$   
 $= \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} \cdot 1 d\tilde{x}$   
 $= \frac{1}{\Gamma(1/2)} (-2) \cdot (x-\tilde{x})^{1/2} \Big|_0^x$   
 $= \frac{2}{\Gamma(1/2)} x^{1/2} = \frac{2}{\sqrt{\pi}} x^{1/2} = 2\sqrt{x/\pi}$

⊛  $\alpha = 1$ :  $f^{(1)} = \frac{1}{\Gamma(1)} \int_0^x (x-\tilde{x})^0 \cdot \underbrace{f^{(2)}(\tilde{x})}_{=0} d\tilde{x}$   
 $= \frac{1}{\Gamma(1)} \int_0^x 1 \cdot 0 d\tilde{x} = \underline{\underline{0 \text{ NO! } f''=1}}$

• Note: Use WOLFRAM ALPHA for example, to compute values of Gamma function and integrals.

$\alpha = \frac{3}{2}$ :  $f^{(3/2)} = \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} \cdot \underbrace{f^{(2)}(\tilde{x})}_{=0} d\tilde{x} = 0$

⊛  $\alpha = 2$ :  $f^{(2)} = \frac{1}{\Gamma(1)} \int_0^x (x-\tilde{x})^0 \cdot \underbrace{f^{(3)}(\tilde{x})}_{=0} d\tilde{x} = 0$

⊛ Use standard integer-order differentiation rules when  $\alpha \in \mathbb{N} \Rightarrow$  avoiding degenerate cases!

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FRACTIONAL CALCULUS AND FEATURES - Cont'd.

• Fractional derivative:

CAPUTO derivatives...

**CAPUTO derivatives of polynomials**

(iii)  $f(x) = x^2$

$\alpha = 0: f^{(0)} = \frac{1}{\Gamma(1)} \int_0^x (x-\tilde{x})^0 f^{(1)}(\tilde{x}) d\tilde{x}$

$= \frac{1}{\Gamma(1)} \int_0^x 2\tilde{x} d\tilde{x} = x^2$

$\alpha = \frac{1}{2}: f^{(1/2)} = \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} f^{(1)}(\tilde{x}) d\tilde{x}$

$= \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} 2\tilde{x} d\tilde{x}$

$= \frac{2}{\sqrt{\pi}} \int_0^x (x-\tilde{x})^{-1/2} \tilde{x} d\tilde{x} = \dots = \frac{4}{3} x^{3/2} \cdot \frac{2}{\sqrt{\pi}}$

( $\text{Re}(x) > 0 \wedge \text{Im}(x) = 0$ )

$\alpha = 1: f^{(1)} = \frac{1}{\Gamma(1)} \int_0^x (x-\tilde{x})^0 f^{(2)}(\tilde{x}) d\tilde{x}$

$= \frac{1}{\Gamma(1)} \int_0^x 1 \cdot 2 d\tilde{x} = 2x$

$\alpha = \frac{3}{2}: f^{(3/2)} = \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} f^{(2)}(\tilde{x}) d\tilde{x}$

$= \frac{1}{\Gamma(1/2)} \int_0^x (x-\tilde{x})^{-1/2} \cdot 2 d\tilde{x}$

$= \frac{2}{\sqrt{\pi}} \int_0^x (x-\tilde{x})^{-1/2} d\tilde{x} = 4\sqrt{x/\pi}$

$\alpha = 2: f^{(2)} = \frac{1}{\Gamma(1)} \int_0^x (x-\tilde{x})^0 f^{(3)}(\tilde{x}) d\tilde{x} = 0$

**NO!  $f^{(2)} = 2$**

⊛ Use integer-order differentiation!

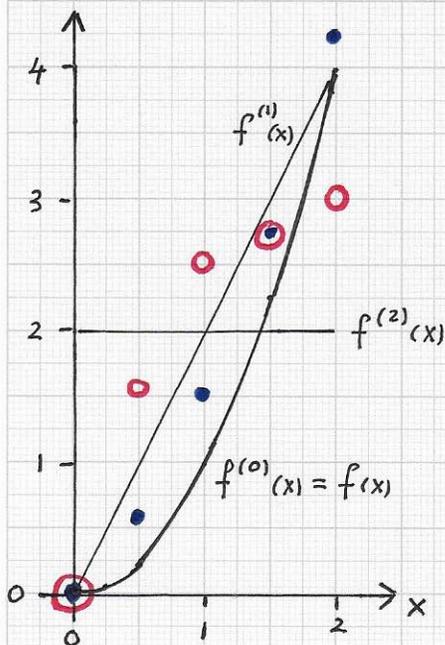
• Note:  $f(x) = x^2$ ;  $\alpha = 1/k$ ,  $k=2,3,4,\dots$ ;  $n=2$

$\Rightarrow f^{(1/k)} = \frac{2}{\Gamma(1-1/k)} \cdot \frac{k^2}{2k^2-3k+1} x^{3/2}$

( $\text{Re}(x) > 0 \wedge \text{Im}(x) = 0$ )

• Note:  $\Gamma(1/2) = \sqrt{\pi}$ ,  $\Gamma(1) = \Gamma(2) = 1, \dots$

$f(x) = x^2$



x	$f^{(1/2)}$	$f^{(3/2)}$
0	0	0
1/2	0.53	1.60
1	1.50	2.56
3/2	2.76	2.76
2	4.26	3.19

↑ {•}      ↑ {0}