

Stratovan

OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

Laplacian eigenfunctions and neural networks:...

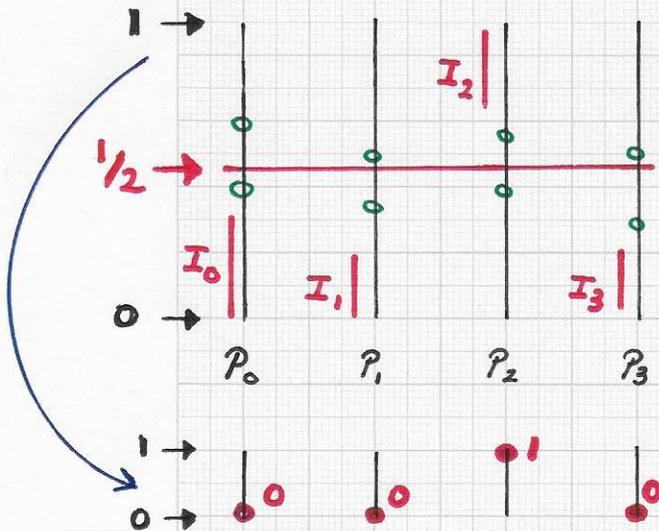
The conditions i) and ii) on the previous page imply (for the specific example considered) that

$I_2$  is a sub-interval in  $(\frac{1}{2}, 1]$  and  $I_{cl}, cl \neq 2$ , is a sub-interval in  $[0, \frac{1}{2})$ . This implication is a consequence of the requirements  $MIN_2 > \frac{1}{2}$  and  $MAX_{cl} < \frac{1}{2}$ .

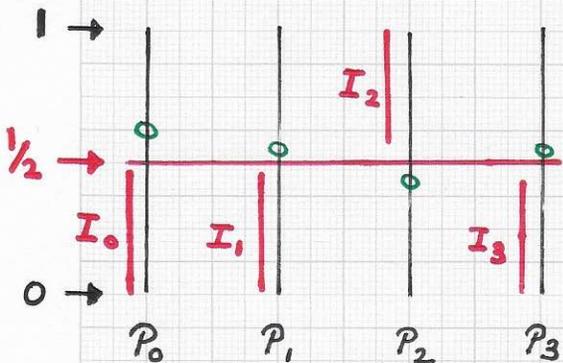
The left figure shows that — in this "ideal" setting — exactly one interval,  $I_2$ , is mapped to the binary value 1, and all other intervals,  $I_{cl}, cl \neq 2$ , are mapped to the binary value 0.

The figure still shows "outlier data" 'o' on all  $P_{cl}$ -axes that, for practical purposes, require additional special case treatment. The second figure (left, bottom) sketches a hypothetical result for  $I_{cl}$  "confidence intervals" after increasing the confidence level from 90% to 99%.

Generally, one must assume that outlier data 'o' can always exist.



Mapping "confidence intervals" to binary values. Thus, whenever an unclassified material segment (of class 2) causes the system to generate  $P_{cl}$ -values that all lie inside the intervals  $I_{cl}$ , then the segment will be correctly classified as a class-2 material segment.



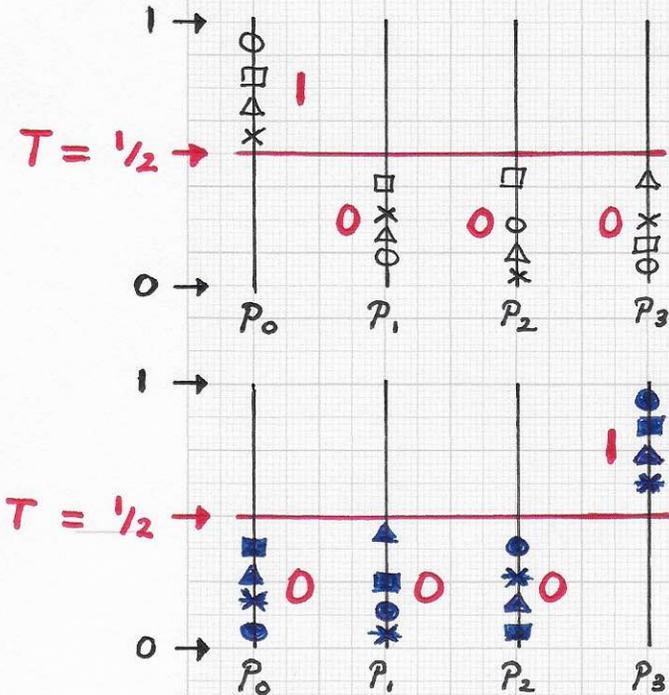
Increasing the confidence level from 90% to 99% yields longer intervals  $I_{cl}$  — but outlier data 'o' can remain.

Stratovan

OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...

A "perfect" classification system would always classify a class-cl material segment as a class-cl segment. The figure (left) illustrates the necessary behavior of such a "perfect" system for four classes (0, 1, 2, 3). Top: Using four class-0 segments (unclassified) as system input (0, □, △, ×), the  $P_0$ -values for all segments would be greater than  $1/2$ ; and the  $P_{cl}$ -values,  $cl \neq 0$ , for all segments would be smaller than  $1/2$ .



Bottom: Using four class-3 segments (unclassified) as input (●, ■, ▲, \*), the  $P_3$ -values for all segments would be greater than  $1/2$ ; and the  $P_{cl}$ -values,  $cl \neq 3$ , for all segments would be smaller than  $1/2$ . **If one**

Exemplary  $P_{cl}$ -values generated by a "perfect" system. Top:  $P_{cl}$ -values for four class-0 materials; bottom:  $P_{cl}$ -values for four class-3 materials.

If simple rounding of  $P_{cl}$ -values were done, the top four  $P_{cl}$ -quadruples would map to (1, 0, 0, 0) and the bottom four would map to (0, 0, 0, 1).

Instead of rounding  $P_{cl}$ -values, i.e., using  $1/2$  as threshold, one could map a  $P_{cl}$ -value to a  $b_{cl}$ -value via

$$b_{cl} = \begin{cases} 1, & \text{if } P_{cl} > T \\ 0, & \text{otherwise} \end{cases}$$

The value of T is an optimization parameter.

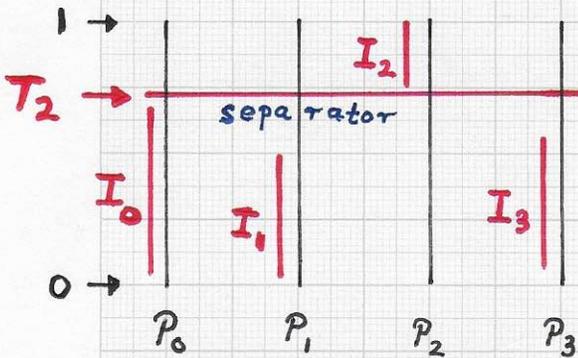
**used the threshold value  $1/2$  to map a  $P_{cl}$ -value to a binary value  $b_{cl}$ , i.e., " $b_{cl} = (INT)(ROUND(P_{cl}))$ ", the data shown in the figure would be mapped to the quadruples (1, 0, 0, 0) and (0, 0, 0, 1), respectively.** ...

Stratovan

■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...

One can - and should - adopt a "highly refined" approach that takes into account known and/or observed classification system behavior as much as possible. The figure (left) should be understood as follows:

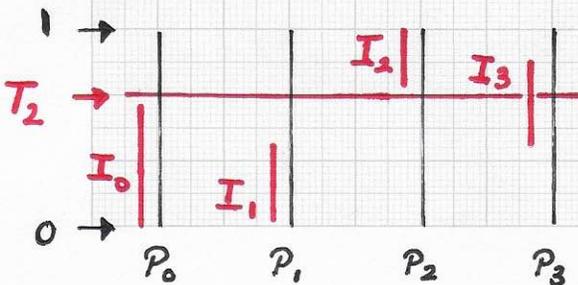


Scenario where 99% of the class-2 material segments produce a classification system response where

$$I_2 \cap I_{cl} = \emptyset, cl \neq 2.$$

In this situation, one can use one  $T_2$ -value as a separator that defines a "dividing line" between  $I_2$  and all other  $I_{cl}$ -intervals, as shown in the figure.

Of course, depending on the "degree of separability" of the material classes,  $I_2$  can potentially have a non-empty intersection with one or more of the other  $I_{cl}$ -intervals:



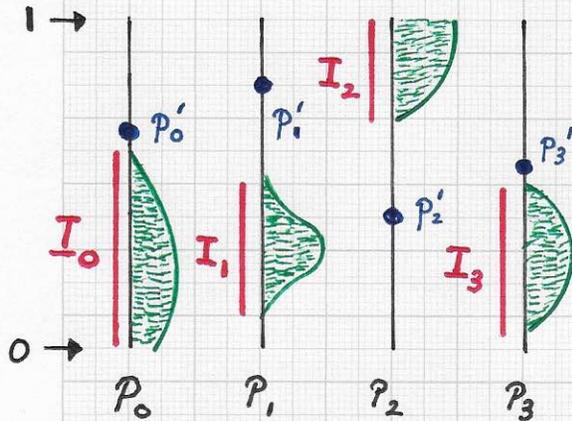
$$I_2 \cap I_3 \neq \emptyset$$

- When testing/observing the trained system concerning the classification of (unclassified) class-2 material segments, using a 99% "confidence interval" level, the sketched intervals  $I_{cl}$  result.
- The lower bound of  $I_2$ ,  $I_2^{\min}$ , is larger than all upper bounds  $I_{cl}^{\max}$ ,  $cl \neq 2$ , i.e.,  $I_2^{\min} > I_{cl}^{\max}$ ,  $cl=0..3, cl \neq 2$ .
- One can calculate a value of an adaptive threshold  $T_2$  that satisfies the conditions
  - $T_2 < I_2^{\min}$  and
  - $T_2 > I_{cl}^{\max}$ ,  $cl=0..3, cl \neq 2$ .
- "Statistically, the system response is correct 99% of the time when having class-2 input, using threshold  $T_2$ ."

Stratovan

OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...



"Confidence intervals"  $I_{cl}$  and (green) sketch of the associated 99%  $P_{cl}$ -value distributions.

An unclassified material segment causes the system to generate the  $P_{cl}$ -value output shown as  $p'$  data. The material tuple  $(p'_0, p'_1, p'_2, p'_3)$  can be compared with the signature of class 2.

In this case, all  $p'_{cl}$ -values lie outside the  $I_{cl}$ -confidence intervals, i.e.,  $p'_{cl} \notin I_{cl}, cl=0...3$ . Thus, one can assume with a high degree of certainty that the quadruple  $(p'_0, p'_1, p'_2, p'_3)$  does NOT represent a material of class 2.

While the distance of  $p'_2$  from the interval  $I_2$  might be most indicative for concluding - in this case - that the unclassified material most likely does not belong to class 2, one should consider behavior on all  $P_{cl}$ -axes.

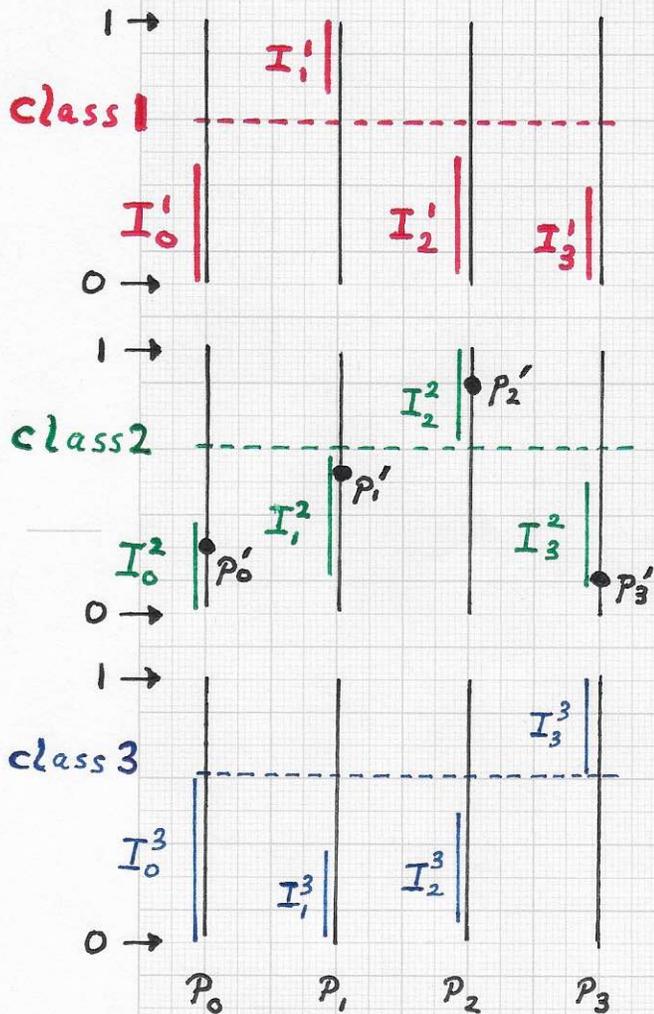
The left figure emphasizes the fact that a value distribution exists for each  $P_{cl}$ -axis, for each  $I_{cl}$ -interval - in this case based on the system response for 99% of only class-2 material segments (for which the system calculates these  $P_{cl}$ -values). One can understand the data visualized in this figure - or the visualization itself - as the prototypical PROFILE, FINGERPRINT or SIGNATURE of class 2.

This signature can therefore be used to determine whether a given unclassified material segment with  $P$ -tuple  $(p'_0, p'_1, p'_2, p'_3)$  is likely a class-2 segment or not. The class-2 "confidence intervals"  $I_{cl}$  have midpoints  $\bar{x}_{cl}$ . A simple first method for determining the distance between the  $P$ -tuple and the class-2 signature might consider  $\sum_{cl=0}^3 |\bar{x}_{cl} - p'_{cl}|$  as a measure.

Stratoran

■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...



Response of trained classification system for a three-class scenario. In this ideal, synthetic case, the three class-indicative intervals  $I_1^1$ ,  $I_2^2$  and  $I_3^3$  are perfectly separated from the other intervals for their respective classes.

Here, the tuple  $(p_0', p_1', p_2', p_3')$  is recognizable as a class-2 tuple, with high certainty.

The left figure illustrates a more general scenario. The scenario considers three material classes (classes 1, 2 and 3), with "class 0" consisting of all those materials that do not belong to classes 1, 2 or 3. We assume that the classification system (for these three/four classes) is fully trained and produces the "confidence intervals"  $I_{cl}^{cl}$  as shown in the figure — requiring, as before, that the intervals are based on "99% system behavior." When recording the system's response for a "large set" of exclusively class-1 material segments to be classified, the class-1 intervals  $I_{cl}^1, cl=0...3$ , are obtained. Using the same approach for class-2 and class-3 segments, one obtains class-2 intervals  $I_{cl}^2, cl=0...3$ , and class-3 intervals  $I_{cl}^3, cl=0...3$ .