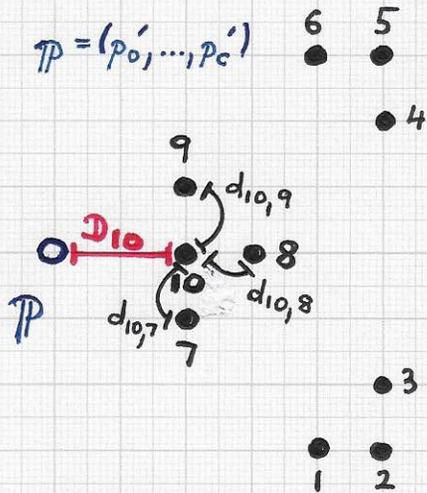


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■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...



• Note. We briefly describe one possibility to use the distances  $D_{cl}$  and  $d_{cl1,cl2}$  to "evaluate the reliability" of classification: An unclassified tuple  $\mathbb{P} = (p_0', p_1', \dots, p_c')$  must be classified. Ten material classes, class 1 ... class 10, are represented in the material sample database, together with their signatures,  $S_1, \dots, S_{10}$ . First, we compute the ten values of  $D_{cl}$ ,  $cl=1 \dots 10$ , i.e., the distances from  $\mathbb{P}$  to the ten class signatures. For example,  $D_{10}$  has the smallest value and (initially)  $\mathbb{P}$  is classified as "10." The figure (left, top) sketches this example in an abstract geometrical way. Second, we now calculate/look up the ordered signature distances  $d_{10,cl2}$ . The ordered set of indices  $\{cl2\}$  is  $\{7, 8, 9, 1, 3, 4, 6, 2, 5\}$ . Thus, the signatures  $S_7, S_8$  and  $S_9$  are the three closest signatures of  $S_{10}$ .

Abstract illustration of ten class signatures  $S_1, \dots, S_{10}$  and an unclassified  $\mathbb{P}$ -tuple. This geometrical sketch is intended to portrait distances between  $\mathbb{P}$  and the signatures, with  $D_{10}$  being the minimal distance between  $\mathbb{P}$  and the ten signatures.

Further, since  $S_{10}$  is the closest signature, from  $\mathbb{P}$ 's perspective, we also high-light the three closest signatures of  $S_{10}$ ; they are signatures  $S_7, S_8$  and  $S_9$ , from  $S_{10}$ 's perspective. The associated distance values are  $d_{10,7}$ ,  $d_{10,8}$  and  $d_{10,9}$ .

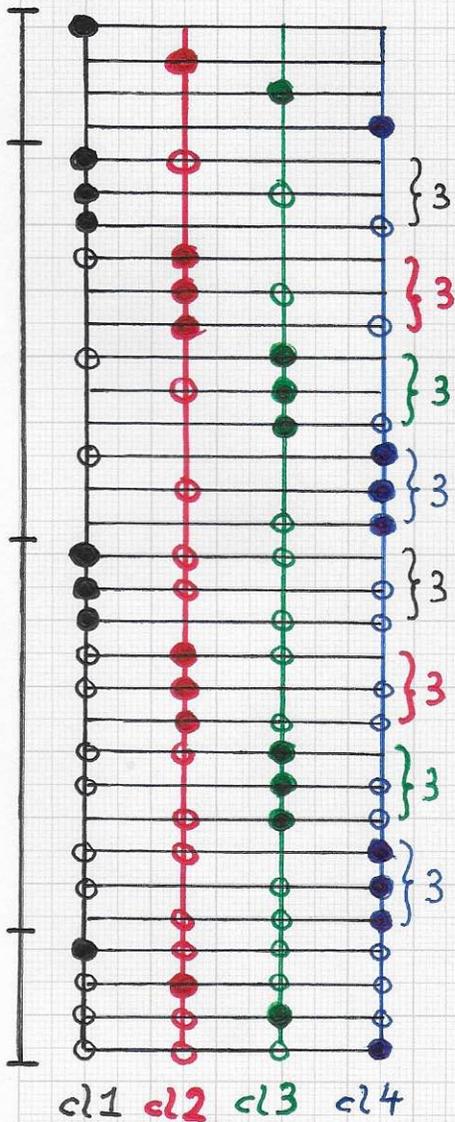
By considering the value of  $D_{10}$  in conjunction with the values  $d_{10,7}$ ,  $d_{10,8}$  and  $d_{10,9}$  - AND  $D_7, D_8$  and  $D_9$  - one can evaluate "classification quality."

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■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

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Considering the example described on the previous page, how can the three smallest values  $d_{10, c_l}, c_l \in \{7, 8, 9\}$ , be used to further assess the (initial) classification result for the tuple  $\mathcal{P}$ ? At this point,  $\mathcal{P}$  is viewed as a class-10 tuple, as  $D_{10}$  has the smallest value of all  $D_{c_l}$  distances,  $c_l = 1 \dots 10$ . Let us consider the following cases, for example: (i) The values of distances  $D_7, D_8$  and  $D_9$  are all "much larger" than  $D_{10}$ ; thus, the (initial) classification of  $\mathcal{P}$  as "class 10" can be assumed to be of very high probability / certainty. (ii) The values of  $D_{10}$  and  $D_7$  are "nearly identical," and the values of  $D_8$  and  $D_9$  are "much larger" than  $D_{10}$ ; thus, the (initial) classification of  $\mathcal{P}$  as "class 10" can be assumed to be less certain, and "class 10" and "class 7" might be equally probable classifications of  $\mathcal{P}$ .



⇒ Sum:

$$4 \cdot \binom{3}{0}$$

$$+ 4 \cdot \binom{3}{1}$$

$$+ 4 \cdot \binom{3}{2}$$

$$+ 4 \cdot \binom{3}{3}$$

$$= 4 \cdot (1+3+3+1)$$

$$= 4 \cdot 8$$

$$= 32$$

Four-class classification.  
Bullets (●, ●, ●, ●) indicate initial classification based on  $D_{c_l}$  values.  
Circles (○, ○, ○, ○) indicate potential "secondary classifications" of equal probability.

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■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...

The example illustrated in the figure on the previous page used C=4 classes. Therefore, potential "secondary classifications" - in addition to the "primary classification" resulting from the  $D_{cl}$ -values - must be drawn from the other (C-1) = 3 classes. Thus, considering all combinatorial possibilities, 0, 1, 2 or 3 "secondary classifications" can be made. Concerning the four-class classification table on the previous page, the number of rows / possibilities is 32, which is

$$4 \cdot \binom{3}{0} + 4 \cdot \binom{3}{1} + 4 \cdot \binom{3}{2} + 4 \cdot \binom{3}{3}$$

$$= 4 \cdot \sum_{i=0}^3 \binom{3}{i} = 4 \cdot 2^3 = 32.$$

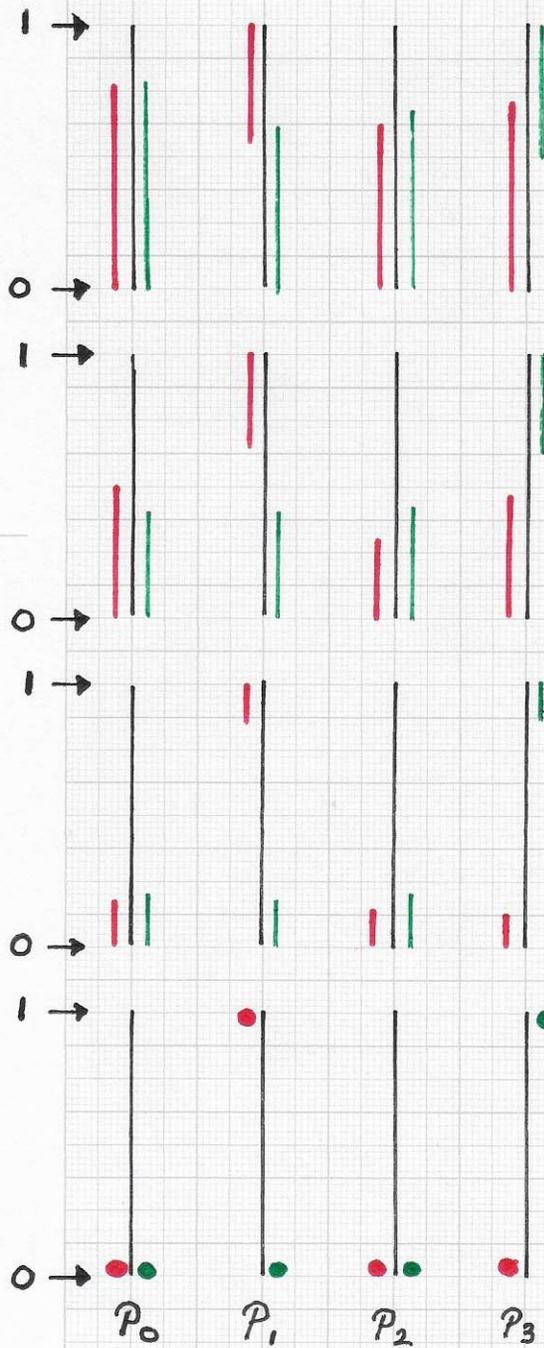
The general case including

C classes therefore has

$$C \cdot \sum_{i=0}^{C-1} \binom{C-1}{i} = C \cdot 2^{C-1}$$

combinatorially viable possibilities. In order to keep computational complexity reasonably low, the number of classes used as "secondary classes" must be a small number.

INCREASING SIGNATURE DISTANCE ↓



From top to bottom: sequence of confidence intervals of two material classes, 1 and 3. The lengths of the intervals decrease, thereby increasing "discriminative power."

...

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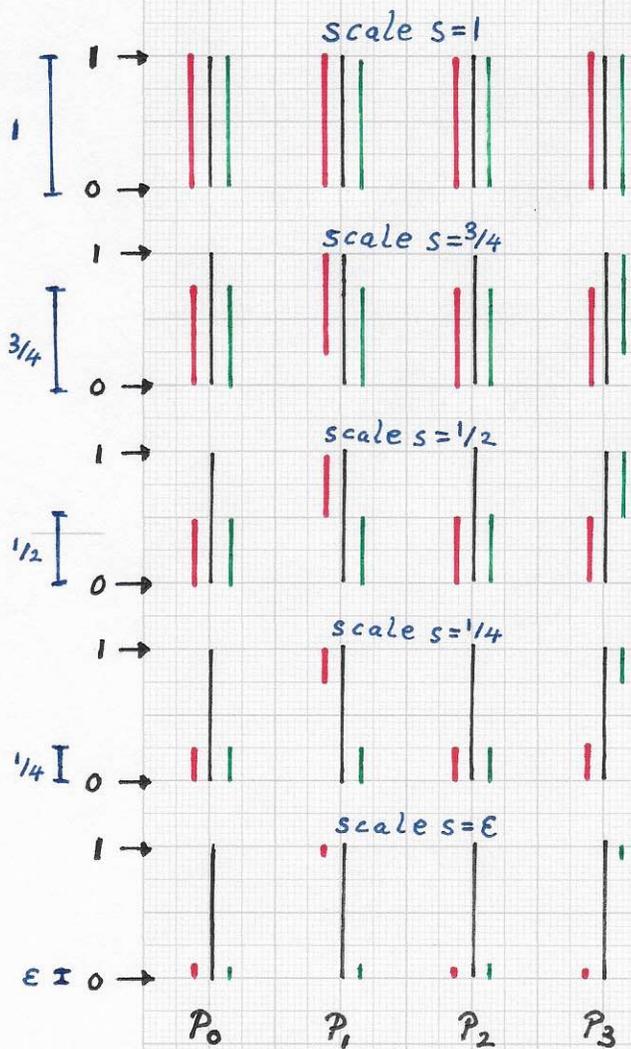
■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...

For example, if one were to permit either 0 or 1 "secondary classifications" - in addition to the  $C$  possible "primary classifications" - the the total number of possibilities would be

$$C \cdot \left( \binom{C-1}{0} + \binom{C-1}{1} \right) = C \cdot (1 + C - 1) = C \cdot C = \underline{C^2}.$$

Nevertheless, in a practical application, an overall classification result would only be (i) a single ("primary") classification, or (ii) one "primary" and one "secondary classification," or (iii) one "primary" and two "secondary classifications etc.



Signatures of material classes 1 and 3. Top: signatures are identical and cover the entire interval  $[0, 1]$ ; they have no "discriminative power." Bottom: signatures clearly represent classes 1 and 3, as they nearly reflect the binary tuples  $(0, 1, 0, 0)$  and  $(0, 0, 0, 1)$ , respectively.

• Note. The figures on the previous and this page provide examples of signatures of two material classes, 1 and 3, with increasing "discriminative power," from top to bottom.

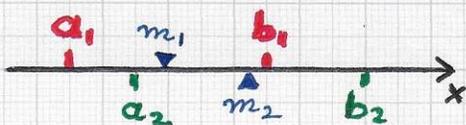
For the five signature pairs shown in the figure on this page we compute  $d_{1,3}$ .

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■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

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Toward a better, a more appropriate distance measure for two intervals in the classification context:



We consider two intervals,

$[a_1, b_1]$  and  $[a_2, b_2]$ .

A simple distance is

$$\begin{aligned} d &= (a_2 - a_1) + (a_2 - b_1) \\ &\quad + (b_2 - a_1) + (b_2 - b_1) \\ &= 2(a_2 - a_1 + b_2 - b_1) \\ &= 4\left(\frac{a_2 + b_2}{2} - \frac{a_1 + b_1}{2}\right) \\ &= 4(m_2 - m_1). \end{aligned}$$

This distance measure has two main advantages: (i) Since  $d$  is simply the difference of the two interval midpoints, it can be quickly computed; (ii) since  $d$  is based on interval midpoints, it can be used for intervals of length 0, i.e., points.

The fact that this distance can be used for intervals of length 0 makes it possible to also consider it to define the distance  $D_2$  of a tuple  $(P_0, \dots, P_C)$ .

Concerning the signature pairs illustrated on the previous page, we can associate "scaling factors" with the lengths of the "confidence intervals." We call this factor  $s$ , and its values are  $1, 3/4, 1/2, 1/4$  and  $\epsilon$ ,

from top to bottom. We use the distance formula provided on p.25 (10/18/2022) to compute the five values for  $d_{1,3}$ . First, we must compute the values for  $A, B$  and  $C_n$ .

For  $s \in [1/2, 1]$  we obtain

$A = 4s, B = 4s, C_n = 6s - 2$ ,

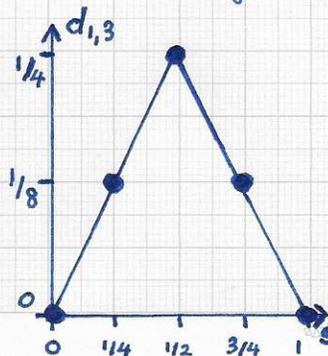
and for  $s \in [0, 1/2)$  we obtain

$A = 4s, B = 4s, C_n = 2s$ .

Using the formula  $d_{1,3} = \dots = (A + B - 2C_n) / 8$ , from p.25,

we obtain the following  $d_{1,3}(s)$ -values:

$s$	$d_{1,3}$
$\epsilon$	$\epsilon/2$
$1/4$	$1/8$
$1/2$	$1/4$
$3/4$	$1/8$
$1$	$0$



The values of  $d_{1,3} = d_{1,3}(s)$  should monotonically increase when  $s$  changes from 1 to 0.