

Stratovan

■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:... We can now derive the formula defining  $u_1$  and  $u_2$ :

$\cos \beta_1 = u_1 \cdot w_1 = (x, \sqrt{1-x^2})^T \cdot (x_1, y_1)^T = x_1 x + y_1 \sqrt{1-x^2}$ ;

$\cos \beta_2 = u_2 \cdot w_2 = (-\sqrt{1-x^2}, x)^T \cdot (x_2, y_2)^T = -x_2 \sqrt{1-x^2} + y_2 x$ .

$\Rightarrow$  condition:  $\cos \beta_1 = \cos \beta_2$

$\Rightarrow x_1 x + y_1 \sqrt{1-x^2} = -x_2 \sqrt{1-x^2} + y_2 x$

$\Rightarrow (x_1 - y_2) x = (-x_2 - y_1) \sqrt{1-x^2}$

$(y_2 - x_1) x = (y_1 + x_2) \sqrt{1-x^2}$

[Eq.]

$\Rightarrow (y_2 - x_1)^2 x^2 = (y_1 + x_2)^2 (1-x^2)$

$\Rightarrow ((y_1 + x_2)^2 + (y_2 - x_1)^2) x^2 = (y_1 + x_2)^2$

$\Rightarrow x^2 = (y_1 + x_2)^2 / ((y_1 + x_2)^2 + (y_2 - x_1)^2)$

$\Rightarrow x = \pm \sqrt{(y_1 + x_2)^2 / ((y_1 + x_2)^2 + (y_2 - x_1)^2)}$

$\Rightarrow u_1 = \dots, u_2 = \dots$

$w_1 \cdot w_2 \neq 0$

• Example (see bottom-left figure on page 14 (10/8/2023)):

$\begin{pmatrix} -.259 \\ .966 \end{pmatrix} = u_2$

$w_1 = (\sqrt{3}/2, 1/2)^T, w_2 = (0, 1)^T$

$\Rightarrow x = \pm \sqrt{((1/2+0)^2 / ((1/2+0)^2 + (1-\sqrt{3}/2)^2))}^{1/2}$

$= \pm \sqrt{1/4 / (1/4 + 7/4 - \sqrt{3})}^{1/2}$

$= \pm \sqrt{1/4 / (2-\sqrt{3})}^{1/2}$

$= \pm \sqrt{(2+\sqrt{3})/4}^{1/2}$

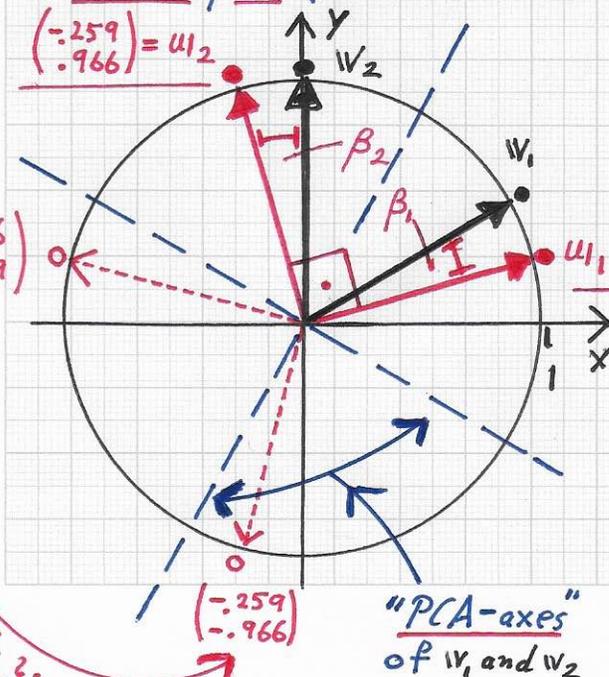
$= \pm \sqrt{1/2} \sqrt{2+\sqrt{3}} = \pm \sqrt{2}(\sqrt{3}+1)/4$

$= \pm \sqrt{2}(\sqrt{3}+1)/4 = \pm .966$

$\Rightarrow y = \sqrt{1-x^2} = \dots = \sqrt{2}(\sqrt{3}-1)/4 = .259$

$\Rightarrow u_1 = (.966, .259)^T, u_2 = (-.259, .966)^T$

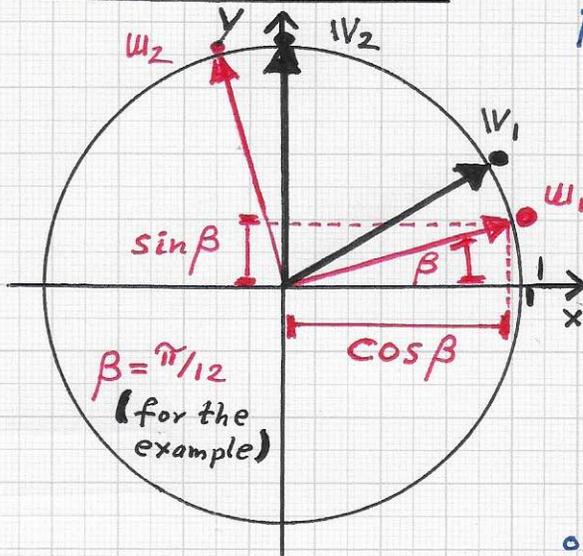
$\Rightarrow \beta_1 = \beta_2 = \pi/12$  (test)



INCORRECT pair  $\{u_1, u_2\}$ ; using  $x = -.966$

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• Laplacian eigenfunctions and neural networks:...



• Note. The numerical example provided on the previous page shows that the proposed "simpler and direct" approach can indeed be used to determine optimal values for  $u_1$  and  $u_2$ . Unfortunately, the algebraic definition of the  $x$ -value

of  $u_1$ , requires one to select (Periodicity:  $\tan \beta = \tan(\beta + \pi)$ ) the  $x = +\sqrt{\quad}$  and not the  $x = -\sqrt{\quad}$  alternative. It is possible to use the  $\tan$  function to resolve this ambiguity. The top-left figure illustrates the geometrical configuration needed for another option to calculate  $u_1$ , - by computing the angle  $\beta$ . The derivation for the  $x$ -value included the equation [Eq.],  $(y_2 - x_1)x = (y_1 + x_2)\sqrt{\quad}$ . By recalling that  $\sqrt{\quad} = \sqrt{1 - x^2} = y$  ( $y$ -value of  $u_1$ ), we can derive the value for  $\beta$  as follows:

$$\begin{aligned} (y_2 - x_1)x &= (y_1 + x_2)y \Rightarrow \underline{x/y = (y_1 + x_2)/(y_2 - x_1)} \\ \Rightarrow \underline{\tan \beta} &= \sin \beta / \cos \beta = (y_2 - x_1) / (y_1 + x_2) = y/x \\ \Rightarrow \underline{\beta} &= \underline{\arctan((y_2 - x_1) / (y_1 + x_2))} \end{aligned}$$

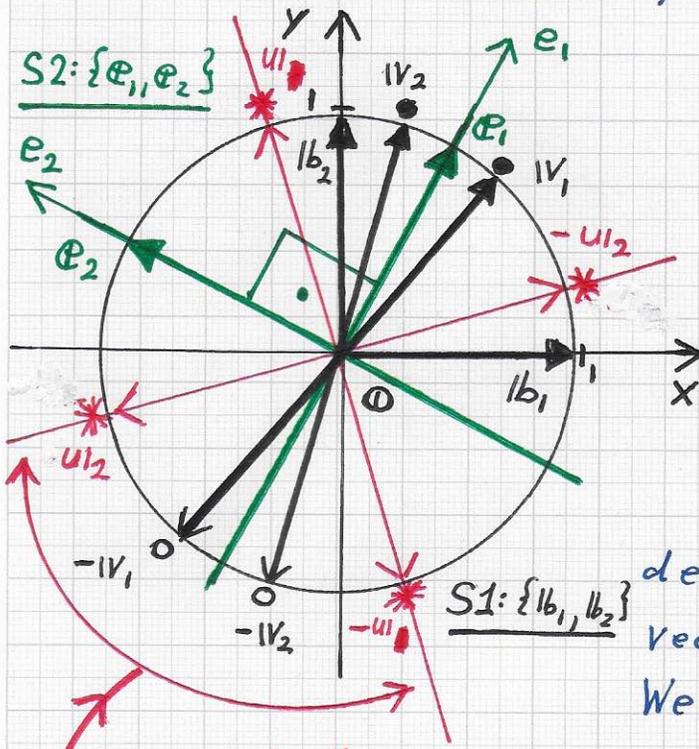
Thus, for the specific numerical example considered, one obtains  $\beta = \arctan((1 - \sqrt{3}/2) / (1/2 + 0)) = \arctan(2 - \sqrt{3})$   $= \pi/12 = 15^\circ$ . This value is the unique, correct result.

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At this point, we discuss the 2D case from the perspective of the geometry of conic sections and quadrics. (Reference: "Geometric Concepts for Geometric Design" by Wolfgang Boehm and Hartmut Prantzsch, AK Peters, 1994). We



consider the general 2D case shown in the left figure. The origin is the point  $\odot$ , and it has unique coordinates  $\odot = (0, 0)^T$ . The initial reference coordinate system S1 is

defined by the two basis vectors  $lb_1 = (1, 0)^T$  and  $lb_2 = (0, 1)^T$ .

We are given two unit, non-orthogonal vectors  $v_1 = (x_1, y_1)^T$  and  $v_2 = (x_2, y_2)^T$ . These two vectors together with their

perpendicular line pair (degenerate conic section)

negated versions  $-v_1$  and  $-v_2$  define a 2-by-2 covariance matrix C, i.e.,  $C = (v_1, v_2, -v_1, -v_2) \cdot (v_1, v_2, -v_1, -v_2)^T = \begin{pmatrix} x_1 & x_2 & -x_1 & -x_2 \\ y_1 & y_2 & -y_1 & -y_2 \end{pmatrix} \cdot \begin{pmatrix} x_1 & x_2 & -x_1 & -x_2 \\ y_1 & y_2 & -y_1 & -y_2 \end{pmatrix}^T = \begin{pmatrix} 2(x_1^2 + x_2^2) & 2(x_1 y_1 + x_2 y_2) \\ 2(x_1 y_1 + x_2 y_2) & 2(y_1^2 + y_2^2) \end{pmatrix}$ .

The matrix C has two real eigenvalues  $\lambda_1$  and  $\lambda_2$  ( $|\lambda_1| > |\lambda_2|$ ) with associated perpendicular normalized eigenvectors  $e_1$  and  $e_2$ ; they define the orthonormal system S2. ...

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Thus, the rotation matrix  $R$  that maps the basis  $\{b_1, b_2\}$  of the orthonormal system  $S_1$  to the basis  $\{e_1, e_2\}$  that defines the orthonormal system  $S_2$  is given by  $R = (e_1, e_2)$ , i.e., the matrix  $R$  that has  $e_1$  and  $e_2$  as its two columns. We refer to the coordinates of a point represented relative to coordinate system  $S_1$  as  $(x, y)^T$ ; the same point represented relative to coordinate system  $S_2$  is written as  $(e_1, e_2)^T$ . Since  $R$  is a rotation matrix with normalized orthogonal vectors as its columns,  $R^{-1} = R^T$ . Thus, the mapping of a point given as a point relative to system  $S_1$ , i.e.,  $x_{S_1} = (x, y)^T$ , to its equivalent representation relative to system  $S_2$  is

$$x_{S_2} = \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} = \begin{pmatrix} e_1^T & -1 \\ e_2^T & -1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix} = R^T x_{S_1}.$$

(The matrix  $R^T$  has  $e_1^T$  and  $e_2^T$  as its rows.)

It is now possible to represent a PERPENDICULAR LINE PAIR (passing through the origin  $O$ ) with respect to system  $S_2$  with its  $e_1$ - and  $e_2$ -axes.

Further, we can also represent the unit circle relative to  $S_2$ . The resulting algebraic definitions are:

(i)  $e_1^2 - e_2^2 = 0$  (line pair); (ii)  $e_1^2 + e_2^2 = 1$  (circle).

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These definitions for an implicitly defined orthogonal line pair and the implicitly defined unit circle can be used to calculate candidate points/vectors for the needed  $\{u_1, u_2\}$  pair by intersecting the lines and the circle:

$$\text{(i) } e_1^2 - e_2^2 = 0 \Rightarrow e_1^2 = e_2^2 \quad | \text{ insert into (ii) } \\ \Rightarrow \text{(ii) } e_1^2 + e_2^2 = e_1^2 + e_1^2 = 2e_1^2 = 1 \Rightarrow e_1^2 = 1/2$$

$$\Rightarrow \underline{e_1 = \pm 1/\sqrt{2}} \quad , \quad \underline{e_2 = \pm 1/\sqrt{2}} .$$

These four values define the four points/vectors  $(\sqrt{2}/2, \sqrt{2}/2)^T$ ,  $(-\sqrt{2}/2, \sqrt{2}/2)^T$ ,  $(-\sqrt{2}/2, -\sqrt{2}/2)^T$  and  $(\sqrt{2}/2, -\sqrt{2}/2)^T$ .

The complex figure on page 23 (10/15/2023) refers to these four points/vectors as  $u_1, u_2, -u_1$  and  $-u_2$ .

THE ORTHOGONAL PAIRS  $\{u_1, u_2\}$ ,  $\{u_2, -u_1\}$ ,  $\{-u_1, -u_2\}$  AND  $\{-u_2, u_1\}$  DEFINE THE POSSIBLE

$2^2 = 2^D = 4$  CANDIDATE PAIRS FROM WHICH ONE CAN SELECT  $\{u_1, u_2\}$ , FOR EXAMPLE, TO OBTAIN THE DESIRED OPTIMAL ORTHONORMAL BASIS

— WHICH IS THE BEST APPROXIMATION TO THE NON-ORTHOGONAL GIVEN PAIR  $\{w_1, w_2\}$ .

This elegant solution approach, based on the use of PCA, the definition of (implicitly defined) conic sections (lines, circle) and the algebraic intersection of conic sections, can be generalized to the D-dimensional case.

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