

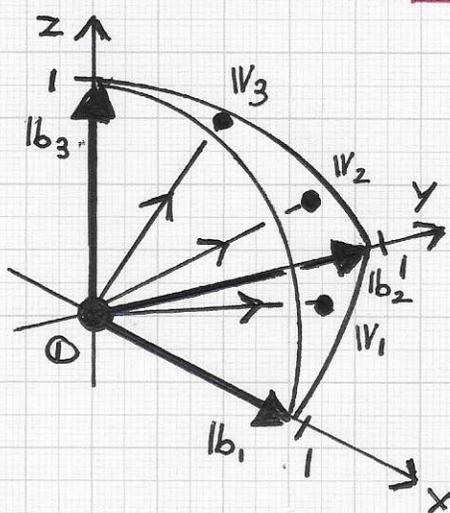
Stratovan

OBJECT AND MATERIAL EIGENFUNCTIONS - cont'd.

• Laplacian eigenfunctions and neural networks:...

Simple drawings of these related vectors are help-

ful for understanding the construction of the desired optimal, best orthonormal vector approximation. The figures on this page attempt to



illustrate the intricate geometry in 3D space via simple projections. The first figure shows the global, "world" coordinate system with origin 0 and orthonormal basis vectors lb1, lb2 and lb3 — and the given unit, non-orthogonal vectors v1, v2 and v3. The second figure

shows the eigensystem vectors e1, e2 and e3, where the unit vector e1 is the upward normal vector of plane P, and P contains the orthonormal vectors e2 and e3. The orthonormal vectors u1, u2 and u3 serve as the initial set of vectors to be used for the BEST APPROXIMATION OF v1, v2 and v3

VIA AN OPTIMAL ROTATION OF u1, u2 AND u3 AROUND THE e1-AXIS.

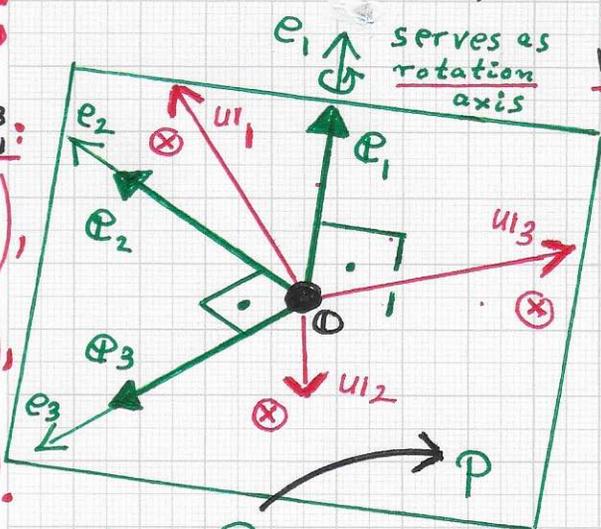
Relative to basis  $\{lb_i\}_{i=1}^3$ :

$$u_1 = \begin{pmatrix} (1-\sqrt{3})/\sqrt{3} \\ (1+\sqrt{3})/\sqrt{3} \\ 1/\sqrt{3} \end{pmatrix}$$

$$u_2 = \begin{pmatrix} 1/\sqrt{3} \\ (1-\sqrt{3})/\sqrt{3} \\ (1+\sqrt{3})/\sqrt{3} \end{pmatrix}$$

$$u_3 = \begin{pmatrix} (1+\sqrt{3})/\sqrt{3} \\ 1/\sqrt{3} \\ (1-\sqrt{3})/\sqrt{3} \end{pmatrix}$$

$\Rightarrow u_i \cdot u_j = 0, i \neq j, \|u_i\| = 1$



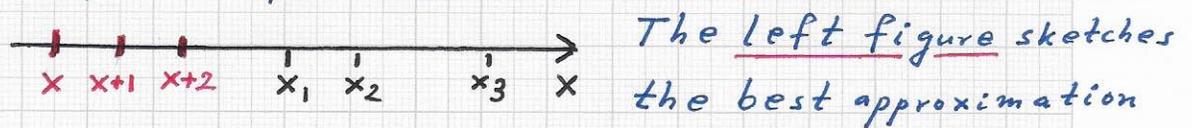
plane P:  $x \cdot \sqrt{3}/3 + y \cdot \sqrt{3}/3 + z \cdot \sqrt{3}/3 = 0$

(eigenvector e1 is unit plane normal)

## ■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

- Laplacian eigenfunctions and neural networks:...
- Note. Before considering the calculation of a best orthonormal vector approximation via an optimal rotation in 3D space, we briefly discuss two simple related optimization problems. The presented solutions to these problems can be employed for solving the 3D space rotation problem.

(i) Optimal approximation of points on one line/axis:



The values  $x_1, x_2$  and  $x_3$  are given. They must be optimally approximated by an equidistantly spaced value triple  $x, x+1$  and  $x+2$ .

Thus, one must determine an optimal value of  $x$ , such that the given  $x_i$ -values are optimally approximated by the uniformly spaced  $x$ -triple.

First, one must establish an appropriate error function to be minimized. For example, one can define a quadratic error function as follows:

$$\begin{aligned} E(x) &= (x-x_1)^2 + (x-x_2)^2 + (x-x_3)^2 + \\ &\quad ((x+1)-x_1)^2 + ((x+1)-x_2)^2 + ((x+1)-x_3)^2 + \\ &\quad ((x+2)-x_1)^2 + ((x+2)-x_2)^2 + ((x+2)-x_3)^2 \\ &= \dots = 9x^2 + 6(3 - (x_1+x_2+x_3))x + 3(x_1^2+x_2^2+x_3^2) \\ &\quad - 2(x_1+x_2+x_3)x + 5 \end{aligned}$$

$$= \underline{9x^2 + 6(3 - (x_1+x_2+x_3))x} + C$$

↑ constant

OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...

As we want to minimize  $E(x)$ , we must determine where  $\frac{d}{dx}E=0$ :

$\frac{d}{dx}E(x) = 18x + 6(3 - (x_1 + x_2 + x_3)) = 0$

$\Rightarrow 3x = (x_1 + x_2 + x_3) - 3$

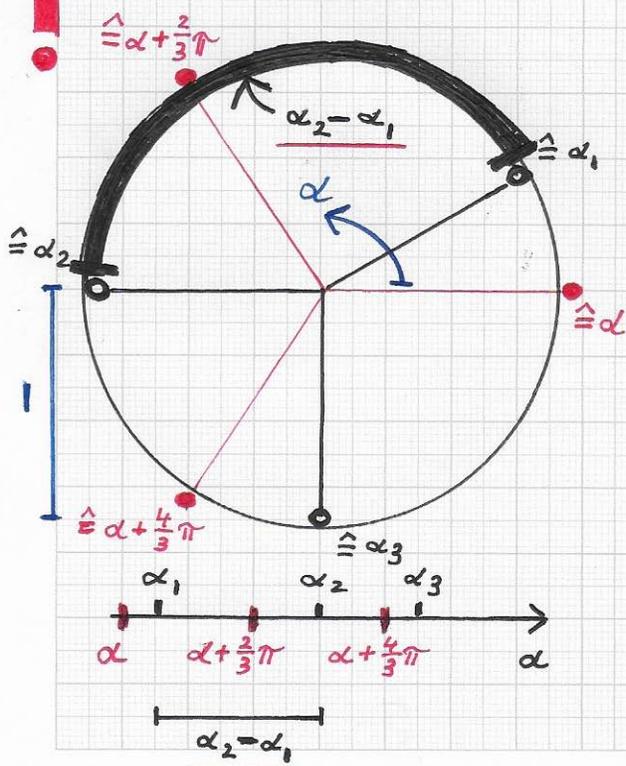
$\Rightarrow \underline{x = -1 + (x_1 + x_2 + x_3)/3 = x_{opt}}$

(Since  $\frac{d^2}{dx^2}E(x) = 18 > 0$ ,  $x_{opt}$  defines a minimum.)

Thus, this specific error function yields a minimal value when "the value of  $x$  is the average of  $x_1, x_2$  and  $x_3$  minus one."

Example:  $x_1=1, x_2=2, x_3=6 \Rightarrow x=2$ .

(ii) Optimal approximation of points on a circle:



The left figure shows the best approximation problem for the unit circle. Three points 'o' are given on the circle. They must be optimally approximated by three equidistantly spaced points on the unit circle. Lengths/distances on the unit circle use arc length. The figure points out that the arc length of the arc between two points is equal to the angle between them  $= \alpha_2 - \alpha_1$ .

Stratovan■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

- Laplacian eigenfunctions and neural networks:... Since the unit circle "has no beginning and no end," we do not designate a "start point" of the circle; as far as the unit circle's  $\alpha$ -parametrization is concerned, one only must keep the period of  $2\pi$  in mind. Thus, we can use an equidistantly spaced parameter triple, with the spacing of  $\frac{2}{3}\pi$  between them — since the goal is the optimal placement of three points on the circle. The parameter triple is  $\alpha, \alpha + \frac{2}{3}\pi$  and  $\alpha + \frac{4}{3}\pi$ . Therefore, one must determine an optimal value of  $\alpha$ , such that the given points 'o' on the unit circle are optimally approximated by three points on the unit circle — where the arc length distance between two neighbor points is  $\frac{2}{3}\pi$ . Formally, we can employ the same error function that defines the approximation error used for case (i). The quadratic error function becomes:

$$\begin{aligned} E(\alpha) &= (\alpha - \alpha_1)^2 + (\alpha - \alpha_2)^2 + (\alpha - \alpha_3)^2 + \\ &\quad (\alpha + \frac{2}{3}\pi - \alpha_1)^2 + (\alpha + \frac{2}{3}\pi - \alpha_2)^2 + (\alpha + \frac{2}{3}\pi - \alpha_3)^2 + \\ &\quad (\alpha + \frac{4}{3}\pi - \alpha_1)^2 + (\alpha + \frac{4}{3}\pi - \alpha_2)^2 + (\alpha + \frac{4}{3}\pi - \alpha_3)^2 \\ &= \dots = \underline{9\alpha^2 + 6(2\pi - (\alpha_1 + \alpha_2 + \alpha_3))\alpha} + C \leftarrow \text{constant} \end{aligned}$$

Thus,  $E(\alpha)$  has an extremal value when  $\frac{d}{d\alpha} E(\alpha) = 0$ :

$$\underline{\frac{d}{d\alpha} E(\alpha) = 18\alpha + 6(2\pi - (\alpha_1 + \alpha_2 + \alpha_3)) = 0}$$

■ OBJECT AND MATERIAL EIGENFUNCTIONS - Cont'd.

• Laplacian eigenfunctions and neural networks:...

$$\Rightarrow 18\alpha = 6(\alpha_1 + \alpha_2 + \alpha_3) - 2\pi$$

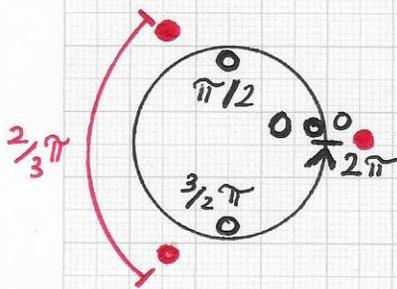
$$\Rightarrow 3\alpha = (\alpha_1 + \alpha_2 + \alpha_3) - 2\pi$$

$$\Rightarrow \alpha = \frac{-2/3\pi + (\alpha_1 + \alpha_2 + \alpha_3)}{3} = \alpha_{opt}$$

- (This  $\alpha$ -value represents an extremal value of  $E(\alpha)$ , and this value  $-\alpha_{opt}$  defines a minimum of  $E(\alpha)$ , since  $d^2/d\alpha^2 E(\alpha) = 18 > 0$ .)

At this point, it is necessary to know/establish the specific values of  $\alpha_1, \alpha_2$  and  $\alpha_3$ , relative to an appropriate reference system, for the computation of  $\alpha$  and the subsequently implied optimally placed points '•'.

We consider a simple example. Since the described optimization scheme is not affected by the indices of given points 'o', we do not consider indices in the example sketched in the left figure. Further, we identify a given point 'o' by its parameter value — shown in the figure next to a point 'o', where the unit circle starts ( $\alpha = 0$ ) and ends ( $\alpha = 2\pi$ ) at the circle's rightmost point with the circle oriented counter-clockwise.



Using the formula for  $\alpha_{opt}$ , we obtain  $\alpha = \frac{-2/3\pi + (0 + 1/2\pi + 3/2\pi)}{3} = \frac{-2/3\pi + (2\pi)}{3} = 0$ . Thus, we obtain the equidistantly spaced optimal point triple '•' shown in the figure, with associated parameter values  $0, 2/3\pi, 4/3\pi$ .