## RNA Structure Prediction

## Hierarchical organization of RNA molecules

Primary structure:

## 5' ACCACCUGCUGA ${ }^{3 \prime}$

Tertiary structure:


## Secondary Structure



## Hierarchical organization of RNA molecules

## Primary structure:

5 ' to 3 ' list of covalently linked nucleotides, named by the attached base

## Secondary Structure

List of base pairs, denoted by $i \bullet j$ for a pairing between the $i$-th and $j$-th Nucleotides, $r_{i}$ and $r_{j}$, where $i<j$ by convention.
Pairing mostly occur as $\mathrm{A} \cdot \mathrm{U}$ and $\mathrm{G} \cdot \mathrm{C}$ (Watson Crick), and $\mathrm{G} \cdot \mathrm{U}$ (wobble)
By definition, base pairs in secondary structure are nested: if $i$ is paired with $j$,
Then $\mathrm{i}+1$ can only be paired with k such that $\mathrm{i}+2<\mathrm{k}<\mathrm{j}$.
Helices are inferred when two or more base pairs occur adjacent to one another

## Tertiary structure:

List of interactions between secondary structures

## RNA secondary structures

Single stranded bases within a stem are called a bulge of bulge loop if the single stranded bases are on only one side of the stem.

If single stranded bases interrupt both sides of a stem, they are called an internal (interior) loop.


## RNA "tertiary interactions"

In addition to secondary structural interactions in RNA, there are also tertiary interactions, including: (A) pseudoknots, (B) kissing hairpins and (C) hairpin-bulge contact.


Pseudoknot
Kissing hairpins
Hairpin-bulge

## RNA secondary structure representation

- Grammatically correct string of parentheses

AGCUACGGAGCGAUCUCCGAGCUUUCGAGAAAGCCUCUAUUAGC
- Planar graph

- Arch diagram

- Mountain diagram


I - interior loop
B - bulge loop
H - hairpin loop


# Predicted secondary structure for Bacillus Subtilis RNase P RNA 

(from Zuker)
(A)

SL1

(B)
(C)

Bat SARS-like CoV

## RNA secondary structure representation

## Circular representation:



Bacillus Subtilis RNase P RNA

## RNA secondary structure representation

DotPlot representation of the same Bacillus
Subtilis RNA folding:
A dot is placed to represent a base pair


## Understanding RNA structure dot plot

## Simple stem loop:

-Single helix closed by the base pair $\mathrm{i} \cdot \mathrm{j}$.
The other base pairs are $(\mathrm{i}+1) \bullet(\mathrm{j}-1) \ldots$ (i+5) •(j-5) (6 total)
-The last base pair, shown in red, closes a hairpin loop. If $\mathrm{k} \cdot 1$ closes a hairpin loop, there can be no base pair k ' $\mathrm{ll}^{\prime}$ such that $\mathrm{k}<\mathrm{k}^{\prime}<\mathrm{l}^{\prime}<1$


## Understanding RNA structure dot plot

## Interior loop (or bulge):

$i \cdot j$ and $i^{\prime} \cdot j^{\prime}$ close an interior
loop if $i<i^{\prime}<j^{\prime}<j$ and $\max \left\{i^{\prime}-\mathrm{i}^{\mathrm{j}} \mathrm{j}^{-j} \mathrm{j}^{\prime}\right\}>1$.
It is a bulge loop if $\min \left\{i^{\prime}-1, j-j^{\prime}\right\}=1$.
The yellow area is empty of base pair.


## Understanding RNA structure dot plot

Multi-branch loop:
Base pair $i \bullet j$ closes a multi-branch if and only if there is a $\mathrm{k}, \mathrm{i}<\mathrm{k}<\mathrm{j}$ such that both regions shaded In green contain base pairs, and the other shaded region is empty


Only three ways to pair four segments...


## Only three ways to pair four segments...



Pseudo-knot: usually
not considered a secondary structure ... as it is difficult to predict !!

## Circular representation of a pseudo-knot



An RNA sequence is represented as:
$R=r_{1}, r_{2}, r_{3}, \ldots, r_{n} \quad\left(r_{i}\right.$ is the $i-t h$ nucleotide $)$.
Each $r_{i}$ belongs to the set $\{A, C, G, U\}$.
A secondary structure on $R$ is a set $S$ of ordered pairs, written as $i \bullet j$, $1 \leq i<j \leq n$, satisfying:

1. $\mathrm{j}-\mathrm{i}>3$ (exclude "close" base pairs)
2. if $\mathrm{i} \cdot \mathrm{j}$ and $\mathrm{k} \bullet l$ are 2 base pairs, with $i \leq k$, then either:
(a) $\mathrm{i}=\mathrm{k}$ and $\mathrm{j}=1$
(same base pair)
(b) i $<$ j $<$ k $<1$
( $\mathrm{i} \cdot \mathrm{j}$ precedes $\mathrm{k} \bullet$ )
(c) i $<$ k $<$ l $<$ j
( $\mathrm{i} \bullet \mathrm{j}$ includes $\mathrm{k} \bullet$ )

## RNA Secondary Structure Prediction

Two primary methods for RNA secondary structure prediction:
-Co-variation analysis (comparative sequence analysis)
Takes into account conserved patterns of basepairs during evolution (2 or more sequences)
-Minimum free-energy method
Determines structure of complementary regions that are energetically stable

## Comparative Sequence Analysis

- Molecules with similar functions and different nucleotide sequences will form similar structures
- Correctly identifies high percentage of secondary structure pairings and a smaller number of tertiary interactions
- Primarily a manual method


## Co-variation

Escherichia coli
Hildenbrandia rubra Bancia fuscopurpurea Rhodochaete parvula Cordvceps kanzashiana Stichococcus bacillaris Graphiola phoenicis

CACACUGGAA (CUGAGACACG) GUCCAGACUCC GAGAGGGAGC (CUGAGAAACG) GCUACCACAUC GAGAGGGAGC (CUGAGAAAUG) GCUACCACAUC GAGAGGGAGC (CUGAGAAACG) GCUACCACAUC GAGAAGGAGC (CUGAGAGACG) GCUACUACAUC GAGAGGGAGC (CUGAGAAACG) GCUACCACAUC GAGAGGGAGC (CUGAGAAACG) GCUACCACAUC

| A G A $A$ |  |
| :---: | :---: |
| G | ${ }^{\text {a }}$ |
| U |  |
| ${ }^{C} \mathrm{C}-\mathrm{G}{ }^{\text {G }}$ |  |
| G-C |  |
| A-U |  |
| G A |  |
| G - C |  |
| G-C |  |
| A A |  |
| G - C |  |
|  | A |
|  | A - U |
| G-C |  |
| H.rubra |  |



## Quantitative Measure of Co-variation

## Mutual Information Content:

$$
H(i, j)=\sum_{N_{1}, N_{2} \in\{A, C, G, U\}} f_{i, j}\left(N_{1}, N_{2}\right) \log _{2} \frac{f_{i, j}\left(N_{1}, N_{2}\right)}{f_{i}\left(N_{1}\right) f_{j}\left(N_{2}\right)}
$$

$\mathrm{f}_{\mathrm{ij}}(\mathrm{N} 1, \mathrm{~N} 2)$ : joint frequency of the 2 nucleotides, $\mathbf{N}_{1}$ from the i -th column, and $\mathbf{N}_{2}$ from the j -th column
$f_{i}(N) \quad:$ frequency in the $i$-th column of the nucleic acid $N$

## How well does it work?

## Table 1

Summary of the evolution of the Noller-Woese-Gutell 16S and 23S rRNA structure models from the first to the most recent covariation-based structure models (adapted from Table 3a,b in [23]).

| Model | 16 S rRNA |  | 23S rRNA |  |
| :---: | :---: | :---: | :---: | :---: |
| Date | 1980 | 1999 | 1981 | 1999 |
| 1. Approximate number of complete sequences | 2 | 7000 | 2 | 1050 |
| 2. Percentage of 1999 sequences* | 0.03 | 100 | 0.2 | 100 |
| 3. Number of bp proposed correctly ${ }^{*}$ | 284 | 478 | 676 | 870 |
| 4. Number of bp proposed incorrectly ${ }^{*}$ | 69 | 0 | 102 | 0 |
| 5. Total bp in model ( $3+4$ ) | 353 | 478 | 778 | 870 |
| 6. Percentage of bp in model present in the current model ( $3 / \mathrm{X}$ )** | 59.4 | 100 | 77.7 | 100 |
| 7. Accuracy of proposed bp (3/5) | 80.5 | 100 | 86.9 | 100 |
| 8. Number of bp in current model missing from this model ( $\mathrm{X}-3$ ) ${ }^{\text {at }}$ | 194 | 0 | 194 | 0 |
| 9. Number of tertiary bp proposed correctly* | 4 | 40 | 4 | 65 |
| 10. Percentage of tertiary bp proposed correctly* | 10.0 | 100 | 6.2 | 100 |
| 11. Number of base triples proposed correctly* | 0 | 6 | 0 | 7 |
| 12. Percentage of base triples proposed correctly* | 0 | 100 | 0 | 100 |

${ }^{*}$ Comparisons are made against the current (1999) models. ${ }^{1} \mathrm{X}=478$ for 16 S rRNA; $\mathrm{X}=870$ for 23 S rRNA. bp, base pairs.

Gutell, Lee, Cannone, COSB, 2002, 12:301

## Computing RNA secondary structure

- Working hypothesis:

The native secondary structure of a RNA molecule is the one with the minimum free energy

Restrictions:

- No knots
- No close base pairs
- Base pairs: $A-U, C-G$ and $G-U$


## Computing RNA secondary structure

- Tinoco-Uhlenbeck postulate:
- Assumption: the free energy of each base pair is independent of all the other pairs and the loop structures
- Consequence: the total free energy of an RNA is the sum of all of the base pair free energies


## Independent Base Pairs Approach

- Use solution for smaller strings to find solutions for larger strings

This is precisely the basic principle behind dynamic programming algorithms!

## RNA folding: Dynamic Programming

## Notation:

- $e\left(r_{i}, r_{j}\right)$ : free energy of a base pair joining $r_{i}$ and $r_{j}$
- $B_{i j}$ : secondary structure of the RNA strand from base $r_{i}$ to base $r_{j}$. Its energy is $\mathrm{E}\left(\mathrm{B}_{\mathrm{ij}}\right)$
- $S(i, j)$ : optimal free energy associated with segment $r_{i} \ldots r_{j}$

$$
\mathrm{S}(\mathrm{i}, \mathrm{j})=\max \mathrm{E}\left(\mathrm{~B}_{\mathrm{ij}}\right)
$$

## RNA folding: Dynamic Programming

There are only four possible ways that a secondary structure of nested base pair can be constructed on a RNA strand from position $i$ to $j$ :


1. $i$ is unpaired, added on to a structure for $\mathrm{i}+1 \ldots \mathrm{j}$

$$
S(i, j)=S(i+1, j)
$$


2. j is unpaired, added on to a structure for $\mathrm{i} . . . \mathrm{j}-1$

$$
S(i, j)=S(i, j-1)
$$

## RNA folding: Dynamic Programming


3. i j paired, added on to a structure for $\mathrm{i}+1 \ldots \mathrm{j}-1$ $\mathrm{S}(\mathrm{i}, \mathrm{j})=\mathrm{S}(\mathrm{i}+1, \mathrm{j}-1)+\mathrm{e}\left(\mathrm{r}_{\mathrm{i}}, \mathrm{r}_{\mathrm{j}}\right)$

4. i j paired, but not to each other; the structure for $\mathrm{i} . . . \mathrm{j}$ adds together structures for 2 sub regions, i...k and $k+1 \ldots j$

$$
\mathrm{S}(\mathrm{i}, \mathrm{j})=\max \{\mathrm{S}(\mathrm{i}, \mathrm{k})+\mathrm{S}(\mathrm{k}+1, \mathrm{j})\}
$$

## RNA folding: Dynamic Programming

Since there are only four cases, the optimal score $S(i, j)$ is just the maximum of the four possibilities:

$$
S(i, j)=\max \left\{\begin{array}{cc}
S(i+1, j) & r_{i} \text { unpaired } \\
S(i, j-1) & r_{j} \text { unpaired } \\
S(i+1, j-1)+e\left(r_{i}, r_{j}\right) & i, j \text { base pair } \\
\max _{i<k<j}\{S(i, k)+S(k+1, j)\} & \text { i, j paired, but not to each other }
\end{array}\right)
$$

To compute this efficiently, we need to make sure that the scores for the smaller sub-regions have already been calculated
Dynamic Programming !!

## RNA folding: Dynamic Programming

## Notes:

$S(i, j)=0$ if $j-i<4$ : do not allow "close" base pairs
Reasonable values of e are $-3,-2$, and -1 kcal/mole for $G C, A U$ and $G U$, respectively. In the DP procedure, we use 3, 2, 1 (or replace max with min)

Build upper triangular part of DP matrix:

- start with diagonal - all 0
- works outward on larger and larger regions
- ends with $S(1, n)$

Traceback starts with $S(1, n)$, and finds optimal path that lead there.

## Initialisation:

No close basepairs


C5....U9 :
C5 unpaired:
$\mathrm{S}(6,9)=0$
U9 unpaired:
$S(5,8)=0$
C5-U9 paired
$\mathrm{S}(6,8)+\mathrm{e}(\mathrm{C}, \mathrm{U})=0$
C5 paired, U9 paired:
$\mathrm{S}(5,6)+\mathrm{S}(7,9)=0$
$\mathrm{S}(5,7)+\mathrm{S}(8,9)=0$


Propagation:

$$
\begin{array}{cccccccccccccc}
A & U & A & C & C & C & U & G & U & G & G & U & A & U \\
\hline
\end{array}
$$

| U | 0 | 0 | 0 | 0 | 0 | 2 | 3 |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| C5....G11: A |  | 0 | 0 | 0 | 0 | 2 | 3 | 5 |  |  |  |  |  |
| C5 unpaired: C |  |  | 0 | 0 | 0 | 0 | 3 | 3 | 3 |  |  |  |  |
| $\mathrm{S}(6,11)=3 \quad \mathrm{C}$ |  |  |  | 0 | 0 | 0 | 0 | 0 | 3 | 6 |  |  |  |
| G11 unpaired: |  |  |  |  | 0 | 0 | 0 | 0 | 3 | 3 |  |  |  |
| U |  |  |  |  |  | 0 | 0 | 0 | 0 | 1 | 1 |  |  |
| C5-G11 paired <br> $S(6,10)+e(C, G)=6$ $G$ |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 1 | 2 |  |
| 5 paired G11 paired U |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 2 | 2 |
| $S(5,6)+S(7,11)=1$ |  |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 1 |
| $\begin{array}{l\|l} \begin{array}{l} \mathrm{S}(5,7)+\mathrm{S}(8,11)=0 \\ \mathrm{~S}(5,8)+\mathrm{S}(9,11)=0 \end{array} & \\ \hline \end{array}$ |  |  |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 |
| $\mathrm{S}(5,9)+\mathrm{S}(10,11)=0 \quad \mathrm{U}$ |  |  |  |  |  |  |  |  |  |  | 0 | 0 | 0 |
| A |  |  |  |  |  |  |  |  |  |  |  | 0 | 0 |
| U |  |  |  |  |  |  |  |  |  |  |  |  | 0 |

Propagation:

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 3 | 5 | 6 | 6 | 8 | 10 | 12 |
| U |  | 0 | 0 | 0 | 0 | 0 | 2 | 3 | 5 | 6 | 6 | 8 | 10 | 10 |
| A |  |  | 0 | 0 | 0 | 0 | 2 | 3 | 5 | 5 | 6 | 8 | 8 | 8 |
| C |  |  |  | 0 | 0 | 0 | 0 | 3 | 3 | 3 | 6 | 6 | 6 | 6 |
| C |  |  |  |  | 0 | 0 | 0 | 0 | 0 | 3 | 6 | 6 | 6 | 6 |
| C |  |  |  |  |  | 0 | 0 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| - U |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 1 | 1 | 3 | 3 |
| - G |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 1 | 2 | 2 |
| $\checkmark \mathrm{U}$ |  |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 2 | 2 |
| G |  |  |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 | 1 |
| G |  |  |  |  |  |  |  |  |  |  | 0 | 0 | 0 | 0 |
| U |  |  |  |  |  |  |  |  |  |  |  | 0 | 0 | 0 |
| A |  |  |  |  |  |  |  |  |  |  |  |  | 0 | 0 |
| U |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |

Traceback:


FINAL PREDICTION


Total free energy: -12 kcal/mol

## Try it yourself!!

Sequence:
GCAGCACCCAAAGGGAAUAUGGGAUACGCGUA

One possible solution:


## Some notes

- Computational complexity: $\mathrm{N}^{3}$
- Does not work with pseudo-knot (would invalidate DP algorithm)
- Methods that include pseudo knots:

Rivas and Eddy, JMB 285, 2053 (1999)
Orland and Zee, Nucl. Phys. B 620, 456 (2002)
These methods are at least $\mathrm{N}^{6}$

## Some notes (2)

- The scoring scheme is too simplistic!
- Needs to take into account the cost of loops (both internal and in hairpins), of bulges, ....

Example: $2 \times 2$ interior loops in RNA closed by a GC and a CG base pair:


Destabilizing energies of loops

| Size | Internal | Bulge | Hairpin |
| :---: | :---: | :---: | :---: |
| 1 | NA | 3.8 | NA |
| 2 | NA | 2.8 | NA |
| 3 | NA | 3.2 | 5.6 |
| 4 | 1.7 | 3.6 | 5.5 |
| 5 | 1.8 | 4.0 | 5.6 |
| 6 | 2.0 | 4.4 | 5.3 |
| 7 | 2.2 | 4.6 | 5.8 |
| 8 | 2.3 | 4.7 | 5.4 |
| 30 | 3.7 | 6.1 | 7.7 |

## Prediction Programs

- MFOLD (Zuker) (web server)
- http://www.unafold.org/mfold/applications/rna-folding-form.php
- Genebee (both comparative + energy model) (web server)
http://www.genebee.msu.su/services/rna2 reduced.html
- Vienna RNA package
http://www.tbi.univie.ac.at/~ivo/RNA/
- Mc-Sym (Computer Science approach)
https://major.iric.ca/MC-Sym/
- RNAFold
- http://rna.tbi.univie.ac.at/cgi-bin/RNAWebSuite/RNAfold.cgi


## How well do they perform?

- Current RNA folding programs get about $60 \%$ of base pairs correct, on average: useful, but not yet good.
- The problem is the scoring system: thermodynamic model is accurate within $5-10 \%$, and many alternative structures are within $10 \%$.
- Possible solution: combination of thermodynamic score with comparative sequence information


## Useful web sites on RNA

Comparative RNA web site
https://crw-site.chemistry.gatech.edu

RNA structure database
http:// ndbserver.rutgers.edu/ (nucleic acid database)

RNA structure classification
http:// scor.berkeley.edu /

RNA visualisation
http://ndbserver.rutgers.edu/ndbmodule/services/download/rnaview.html
http://x3dna.org

