

**Ethical principles at a University**  
Patrice Koehl  
Computer Science, UC Davis

Sources:  
Phil Rogaway, UC Davis  
Dave Touretsky, CMU

---

---

---

---

---

---

---

---

Ethical principles



---

---

---

---

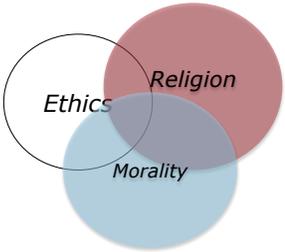
---

---

---

---

Ethical principles



The diagram consists of three overlapping circles. A white circle on the left is labeled 'Ethics'. A red circle on the top right is labeled 'Religion'. A blue circle on the bottom right is labeled 'Morality'. The overlapping areas between the circles are shaded, representing the intersection of these concepts.

---

---

---

---

---

---

---

---

Ethical principles

**The two main goals of academic institutions:**

- Dissemination and creation of knowledge
- fair assignment of credit for the learning and the work

---

---

---

---

---

---

---

Ethical principles

**Use of human subjects in research**  
Consent, ...

**Use of animal subjects in research**  
Appropriate care, appropriate use,...

**Moral debates**  
Evolution, stem cell research, weapon developments, genetic screening,...

**Professional issues**  
Plagiarism, authorship, confidentiality,...

---

---

---

---

---

---

---

How to avoid ethical dilemma

- Know the rules!  
<http://sja.ucdavis.edu/cac.html>
- On being a scientist (National Academy of Sciences)  
[http://www.nap.edu/openbook.php?record\\_id=4917](http://www.nap.edu/openbook.php?record_id=4917)
- Know your rights and responsibility
- Learn to recognize common ethical mistakes
- Act early to avoid conflicts

---

---

---

---

---

---

---

Ethical principles

*Do's and Don't's:*

-**Plagiarism** : copying someone else's work and attempting to pass it off as one's own work

-**Hiring someone to do your work**

-**Using work without proper attribution**

-Using work with proper attribution, but **relying exclusively on such work rather than developing one's own solutions**

---

---

---

---

---

---

---

---

Ethical principles

*Do's and Don't's:*

-**Aiding a student who is taking a test**

-**Receiving credit for work you have not done**

---

---

---

---

---

---

---

---

Ethics and Publication

Policies

Acknowledgement of Credit

Writing the paper

Citations

Reviewing a paper

---

---

---

---

---

---

---

---

Ethics and Publication: Policies

**Many scientific journals impose ethical rules on authors:**

- Release of data and/or software to the community
- Compliance with NIH for experiments with human subjects
- Compliance with NIH for experiments with animals
- Research should not have being published (or submitted) elsewhere

---

---

---

---

---

---

---

Ethics and Publication  
Acknowledgement of credit

**There are two ways to acknowledge contribution to a paper:**

- Authorship
- Acknowledgements

---

---

---

---

---

---

---

Ethics and Publication  
Acknowledgement of credit

**Who should be a co-author:**

Anyone who has made a significant and direct contribution to the work, where contribution relates to:

- Providing key ideas
- Doing the implementation
- Collecting/analyzing data
- Writing the paper

**Note that being co-author is a privilege and a responsibility!**

---

---

---

---

---

---

---

Ethics and Publication  
Acknowledgement of credit

**Order of appearance of authors:**

Generally, authors are listed in decreasing contribution level...

**There are many exceptions:**

- Some fields use alphabetical listing
- The first and last positions carry more weight
- There are cultural differences between fields of studies
- Papers in CS usually have up to 4 names

---

---

---

---

---

---

---

---

Ethics and Publication  
Acknowledgement of credit

**Order of appearance of authors:**



---

---

---

---

---

---

---

---

Ethics and Publication  
Acknowledgement of credit

**Who you should acknowledge:**

- People who contributed a good idea
- People who provided pointers to papers
- People that helped debug a tricky part of the code
- People that helped with illustrations
- Funding agencies!

**It is good manner to acknowledge!**

---

---

---

---

---

---

---

---

Ethics and Publication  
Acknowledgement of credit

**What you should ask your advisor:**

- What is the policy about co-authorship in your field?
- What is the policy in the lab?

---

---

---

---

---

---

---

Ethics and Publication  
Fraud in Research

**Trimming:** smoothing irregularities to make the data appear extremely accurate and precise.

**Cooking:** retaining only those results that fit the theory, and discarding others.

**Forging:** inventing some or all of the research data that are reported; even reporting experiments that were never performed.

*Sigma Xi's "Honor in Science"*

---

---

---

---

---

---

---

Ethics and Publication  
Fraud in Research

**Favorite excuses for trimming and cooking:**

"those outlier points must be measurement error"

"they would only confuse the readers"

"everybody cleans up their data before publication"

---

---

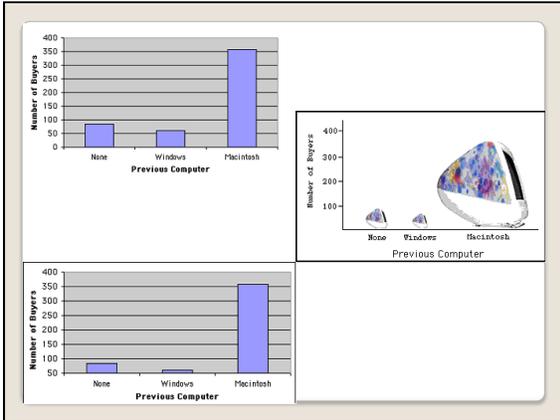
---

---

---

---

---



---

---

---

---

---

---

---

---

Ethics and Publication  
Fraud in Research

**The "hall of shame":**  
**NIH Office of Research Integrity**  
<http://ori.hhs.gov>

---

---

---

---

---

---

---

---

Ethics and Publication  
Writing the paper

**Beware of plagiarism!**  
It is easy to avoid with quotes and citation.  
**Beware of plagiophrasing!**

---

---

---

---

---

---

---

---

**Original text**

Celera of Rockville, Maryland, is a private company that last year (2000) completed a map of all human genes. Formed just three years ago, the company instigated a contentious race with the Federally funded Human Genome Project when company officials announced they would create a map in only three years, while the government project had been working on it for about a decade. (<http://www.wirednews.cmo/news/tecnology/0,1282,41306,00.html>)

**Plagiophrasing**

Six years ago (2000), Celera of Rockville, Maryland, a private company, completed a map of all human genes. Formed just three years before, the company sparked a contentious race with the federally funded Human Genome Project when company officials announced they would create a map in only three years, while the government project had been working on it for about a decade.

**Paraphrasing**

In 2000 a private company, Celera of Rockville, Maryland, beat the federally funded Human Genome Project to the goal of mapping all human genes. (<http://www.wirednews.cmo/news/tecnology/0,1282,41306,00.html>)

(Masiel, Writing Department, UC Davis)

---

---

---

---

---

---

---

---

**Ethics and Publication Citations**

**Cite other people's work often:**

- Avoid antagonizing reviewers by not citing their work
- Demonstrate that you know your field and have done your background work
- Make new friends (people like to be cited!)

---

---

---

---

---

---

---

---

**Ethics and Publication Reviewing**

- Do your fair share of reviewing
- Be careful about conflict of interests
- Say no to a request if you are not familiar with the topic of the paper
- Judge quality objectively
- Respect confidentiality
- Provide adequate support to your arguments, including citations!
- Be polite and respectful!

---

---

---

---

---

---

---

---

**Ethics**  
**If you have a problem/ doubts**

- Get your advisor's advice.
- If you have a problem with your advisor, discuss it with him or her before seeking outside opinions.
- If necessary, speak confidentially with some other senior scientist whose opinions you respect.

---

---

---

---

---

---

---