

# Efficient Ray Tracing of Volume Data

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*Volume rendering* is a technique for visualizing sampled scalar or vector fields of three spatial dimensions without fitting geometric primitives to the data. A subset of these techniques generates images by computing 2-D projections of a colored semitransparent volume, where the color and opacity at each point are derived from the data using local operators. Since all voxels participate in the generation of each image, rendering time grows linearly with the size of the dataset. This paper presents a front-to-back image-order volume-rendering algorithm and discusses two techniques for improving its performance. The first technique employs a pyramid of binary volumes to encode spatial coherence present in the data, and the second technique uses an opacity threshold to adaptively terminate ray tracing. Although the actual time saved depends on the data, speedups of an order of magnitude have been observed for datasets of useful size and complexity. Examples from two applications are given: medical imaging and molecular graphics.

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## INTRODUCTION

The increasing availability of powerful graphics workstations in the scientific and computing communities has catalyzed the development of new methods for visualizing discrete multidimensional data. In this paper we address the problem of visualizing sampled scalar or vector fields of three spatial dimensions, henceforth referred to as *volume data*. We direct our attention to a family of visualization methods called *volume-rendering techniques* in which the sample array is displayed directly, that is, without first fitting geometric primitives to it. We further focus on a subset of these volume-rendering techniques in which a color and an opacity are assigned to each voxel, and a 2-D projection of the resulting colored semitransparent volume is computed [9, 21, 29, 33]. The principal

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advantages of these techniques over other visualization methods are their superior image quality and the ability to generate images without explicitly defining surface geometry. The principal drawback of these techniques is their cost. Since all voxels participate in the generation of each image, rendering time grows linearly with the size of the dataset.

This paper presents a front-to-back image-order volume-rendering algorithm and discusses two strategies for improving its performance. The first optimization is based on the observation that many datasets contain coherent regions of empty voxels. In the context of volume rendering, a voxel is defined as empty if its opacity is zero. Methods for encoding coherence in volume data include octree hierarchical spatial enumeration [25], polygonal representation of bounding surfaces [10], and octree representation of bounding surfaces [13]. The algorithm presented in this paper employs an octree enumeration similar to that of [25], but represents the enumeration by a pyramid of binary volumes or *complete octree* [35] rather than by a condensed representation. The present algorithm also differs from [25] in that it renders data in image order, that is, by tracing viewing rays from an observer position through the octree, whereas [25] renders in object order, that is, by traversing the octree in depth-first manner while following a consistent direction through space.

The second optimization is based on the observation that, once a ray has struck an opaque object or has progressed a sufficient distance through a semitransparent object, opacity accumulates to a level where the color of the ray stabilizes and ray tracing can be terminated. The idea of adaptively terminating ray tracing was first proposed in [34]. Many algorithms for displaying medical data stop after encountering the first surface or the first opaque voxel. In this guise, the idea has been reported in [15, 30, and 32] and perhaps elsewhere. In the present algorithm, surfaces are not explicitly detected. Instead, they appear in the image as a natural by-product of the stepwise accumulation of color and opacity along each ray. Adaptive termination is implemented by stopping each ray when its opacity reaches a user-selected threshold level.

The reduction in image-generation time obtained by applying these optimizations is highly dependent on the depth complexity of the scene. In this paper we focus on visualizations consisting of opaque or semitransparent surfaces. A plot of opacity along a line perpendicular to one of these surfaces typically exhibits a bump shape several voxels wide, and voxels not in the vicinity of surfaces have an opacity of zero. For these scenes, savings of up to an order of magnitude over brute-force rendering algorithms have been observed. For scenes consisting solely of opaque surfaces, the cost of generating images has been observed to grow nearly linearly with the size of the image rather than linearly with the size of the dataset.

## 1. BRUTE-FORCE ALGORITHM

Let us first consider the brute-force volume-rendering algorithm described in [21] and outlined in Figure 1. We begin with a 3-D array of data samples. For simplicity, let us assume a scalar-valued array forming a cube that is  $N$  voxels on a side. In this paper we treat voxels as point samples of a continuous function rather than as volumes of homogeneous value. Voxels are indexed by a vector  $\mathbf{i} = (i, j, k)$  where  $i, j, k = 1, \dots, N$ , and the value of voxel  $\mathbf{i}$  is denoted  $f(\mathbf{i})$ . Using

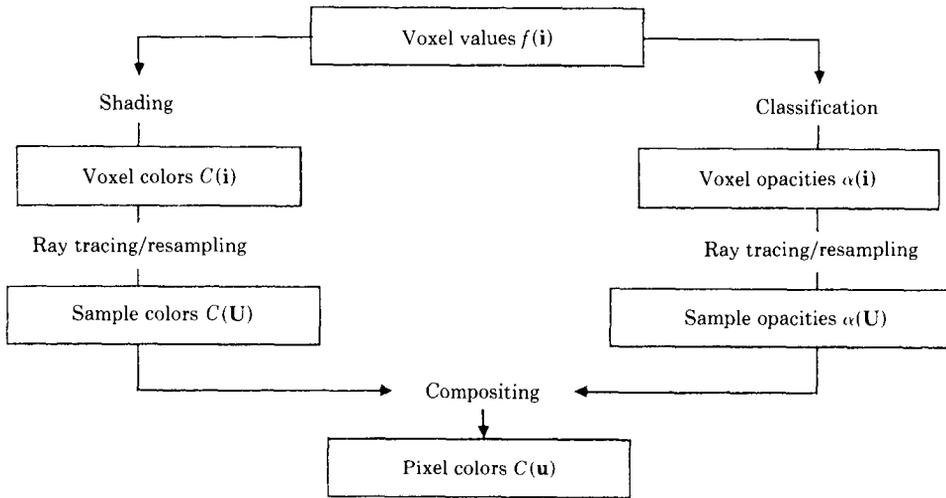


Fig. 1. Overview of volume-rendering algorithm.

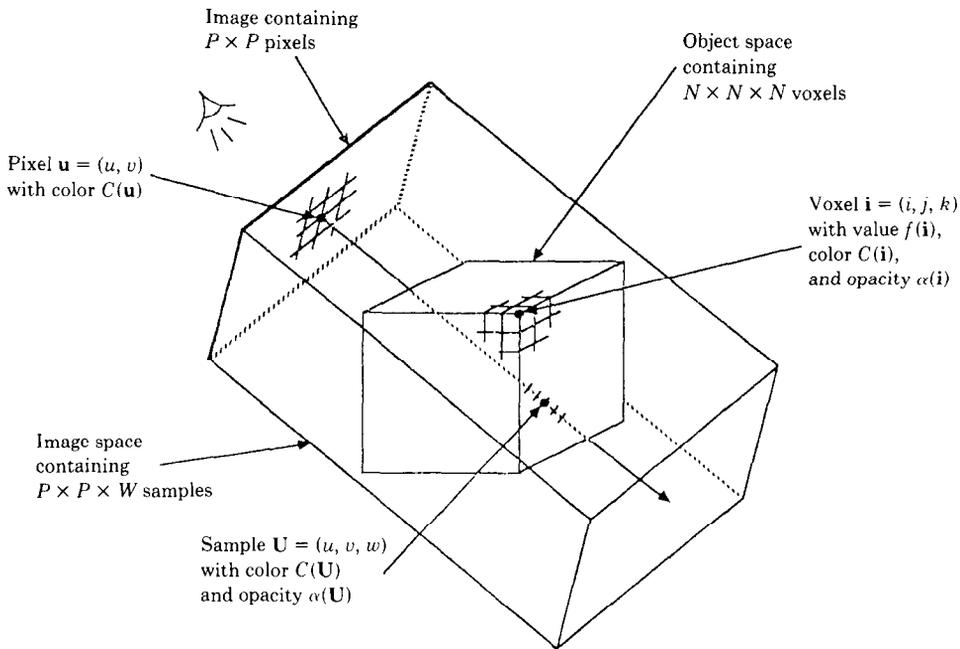


Fig. 2. Coordinate systems used during volume rendering.

local operators, a scalar or vector color  $C(\mathbf{i})$  and an opacity  $\alpha(\mathbf{i})$  are derived for each voxel.

Parallel rays are then traced into the data from an observer position as shown in Figure 2. Let us assume that the image is a square measuring  $P$  pixels on a side and that one ray is cast per pixel. Pixels and, hence, rays are indexed by a vector  $\mathbf{u} = (u, v)$  where  $u, v = 1, \dots, P$ . For each ray, a vector of colors and

opacities is computed by resampling the data at  $W$  evenly spaced locations along the ray and by trilinearly interpolating from the colors and opacities in the eight voxels surrounding each sample location. Samples are indexed by a vector  $\mathbf{U} = (u, v, w)$  where  $(u, v)$  identifies the ray and  $w = 1, \dots, W$  corresponds to distance along the ray with  $w = 1$  being closest to the eye. The color and opacity of sample  $\mathbf{U}$  are denoted  $C(\mathbf{U})$  and  $\alpha(\mathbf{U})$ , respectively. Finally, a fully opaque background is draped behind the dataset, and the resampled colors and opacities are composited with each other and with the background to yield a color for the ray. This color is denoted  $C(\mathbf{u})$ .

The rendering algorithms in [9] and [21] process data from back to front, while the algorithms in [29] and [33] operate from front to back. In this paper we work from front to back, compositing the color and opacity at each sample location *under* the ray in the sense of [27]. Specifically, the color  $C_{\text{out}}(\mathbf{u}; \mathbf{U})$  and opacity  $\alpha_{\text{out}}(\mathbf{u}; \mathbf{U})$  of ray  $\mathbf{u}$  after processing sample  $\mathbf{U}$  are related to the color  $C_{z_{\text{places}}}(\mathbf{u}; \mathbf{U})$  and opacity  $\alpha_{\text{in}}(\mathbf{u}; \mathbf{U})$  of the ray before processing the sample and the color  $C(\mathbf{U})$  and opacity  $\alpha(\mathbf{U})$  of the sample by the transparency formula

$$\hat{C}_{\text{out}}(\mathbf{u}; \mathbf{U}) = \hat{C}_{\text{in}}(\mathbf{u}; \mathbf{U}) + \hat{C}(\mathbf{U})(1 - \alpha_{\text{in}}(\mathbf{u}; \mathbf{U})) \quad (1a)$$

and

$$\alpha_{\text{out}}(\mathbf{u}; \mathbf{U}) = \alpha_{\text{in}}(\mathbf{u}; \mathbf{U}) + \alpha(\mathbf{U})(1 - \alpha_{\text{in}}(\mathbf{u}; \mathbf{U})) \quad (1b)$$

where  $\hat{C}_{\text{in}}(\mathbf{u}; \mathbf{U}) = C_{\text{in}}(\mathbf{u}; \mathbf{U})\alpha_{\text{in}}(\mathbf{u}; \mathbf{U})$ ,  $\hat{C}_{\text{out}}(\mathbf{u}; \mathbf{U}) = C_{\text{out}}(\mathbf{u}; \mathbf{U})\alpha_{\text{out}}(\mathbf{u}; \mathbf{U})$ , and  $\hat{C}(\mathbf{U}) = C(\mathbf{U})\alpha(\mathbf{U})$ .

After all samples along a ray have been processed, the color  $C(\mathbf{u})$  of the ray is obtained from the expression  $C(\mathbf{u}) = \hat{C}_{\text{out}}(\mathbf{u}; \mathbf{W})/\alpha_{\text{out}}(\mathbf{u}; \mathbf{W})$  where  $\mathbf{W} = (u, v, W)$ . If a fully opaque background is draped behind the dataset at  $w' = W + 1$  and composited under the ray after it has passed through the data, then  $\alpha_{\text{out}}(\mathbf{u}; \mathbf{W}') = 1$  where  $\mathbf{W}' = (u, v, w')$ , and this normalization step can be omitted.

The ray-tracing, resampling, and compositing steps of the brute-force rendering algorithm are summarized as follows:

**procedure** *TraceRay*<sub>1</sub>( $\mathbf{u}$ ) **begin**

$\hat{C}(\mathbf{u}) := 0;$

$\alpha(\mathbf{u}) := 0;$

$\mathbf{x}_1 := \text{First}(\mathbf{u});$

$\mathbf{x}_2 := \text{Last}(\mathbf{u});$

$\mathbf{U}_1 := \{\text{Image}(\mathbf{x}_1)\};$

$\mathbf{U}_2 := \{\text{Image}(\mathbf{x}_2)\};$

    {Loop through all samples falling within data}

**for**  $\mathbf{U} := \mathbf{U}_1$  **to**  $\mathbf{U}_2$  **do begin**

$\mathbf{x} := \text{Object}(\mathbf{U});$

        {If sample opacity > 0,}

        {then resample color and composite into ray}

$\alpha(\mathbf{U}) := \text{Sample}(\alpha, \mathbf{x});$

**if**  $\alpha(\mathbf{U}) > 0$  **then begin**

$\hat{C}(\mathbf{U}) := \text{Sample}(\hat{C}, \mathbf{x});$

$\hat{C}(\mathbf{u}) := \hat{C}(\mathbf{u}) + \hat{C}(\mathbf{U})(1 - \alpha(\mathbf{u}));$

$\alpha(\mathbf{u}) := \alpha(\mathbf{u}) + \alpha(\mathbf{U})(1 - \alpha(\mathbf{u}));$

**end**

**end**

**end** *TraceRay*<sub>1</sub>.

The *First* and *Last* procedures accept a ray index and return the object-space coordinates of the points where the ray enters and leaves the data, respectively. These coordinates are denoted by real vectors of the form  $\mathbf{x} = (x, y, z)$  where  $1 \leq x, y, z \leq N$ . The *Object* and *Image* procedures convert between object-space coordinates and image-space coordinates. Although these calculations normally require matrix multiplications, they can be simplified for the restricted case of an orthographic viewing projection by retaining the coordinates computed in the previous invocation and using differencing. The *Sample* procedure accepts a 3-D array of colors or opacities and the object-space coordinates of a point, and returns an approximation to the color or opacity at that point by trilinearly interpolating from the eight surrounding voxels.

## 2. OPTIMIZED ALGORITHM

The first optimization technique we consider is hierarchical spatial enumeration. For a dataset measuring  $N$  voxels on a side where  $N = 2^M + 1$  for some integer  $M$ , we represent this enumeration by a pyramid of  $M + 1$  binary volumes as shown in Figure 3 for the case of  $N = 5$ . Volumes in this pyramid are indexed by a level number  $m$  where  $m = 0, \dots, M$ , and the volume at level  $m$  is denoted  $V_m$ . Volume  $V_0$  measures  $N - 1$  cells on a side, volume  $V_1$  measures  $(N - 1)/2$  cells on a side, and so on up to volume  $V_m$ , which is a single cell. Cells are indexed by a level number  $m$  and a vector  $\mathbf{i} = (i, j, k)$  where  $i, j, k = 1, \dots, N - 1$ , and the value contained in cell  $\mathbf{i}$  on level  $m$  is denoted  $V_m(\mathbf{i})$ . We define the size of cells on level  $M$  to be  $2^m$  times the spacing between voxels. Since voxels are treated as points, whereas cells fill the space between voxels, each volume is one cell larger in each direction than the underlying dataset as shown in Figure 3. We also place voxel  $(1, 1, 1)$  at the front-lower-right corner of cell  $(1, 1, 1)$ . Thus, for example, cell  $(1, 1, 1)$  on level zero encloses the space between voxels  $(1, 1, 1)$  and  $(2, 2, 2)$ .

We construct the pyramid as follows: Cell  $\mathbf{i}$  in the base volume  $V_0$  contains a zero if all eight voxels lying at its vertices have opacity equal to zero. Cell  $\mathbf{i}$  in any volume  $V_m$ ,  $m > 0$ , contains a zero if all eight cells on level  $m - 1$  that form its octants contain zeros. In other words, let  $\{1, 2, \dots, k\}^2$  be the set of all  $n$ -vectors with entries  $\{1, 2, \dots, k\}$ . In particular,  $\{1, 2, \dots, k\}^3$  is the set of all vectors in 3-space with integer entries between 1 and  $k$ . We then define

$$V_0(\mathbf{i}) = \begin{cases} 1 & \text{if } \alpha(\mathbf{i} + \Delta\mathbf{i}) = 1 \\ & \text{for } \mathbf{i} \in \{1, 2, \dots, N - 1\}^3 \text{ and any } \Delta\mathbf{i} \in \{0, 1\}^3 \\ 0 & \text{otherwise} \end{cases} \quad (2a)$$

and

$$V_m(\mathbf{i}) = \begin{cases} 1 & \text{if } V_{m-1}(2\mathbf{i} - \Delta\mathbf{i}) = 1 \\ & \text{for } \mathbf{i} \in \left\{1, 2, \dots, \frac{N-1}{m+1}\right\}^3 \text{ and any } \Delta\mathbf{i} \in \{0, 1\}^3 \\ 0 & \text{otherwise} \end{cases} \quad (2b)$$

for  $m = 1, \dots, M$ .

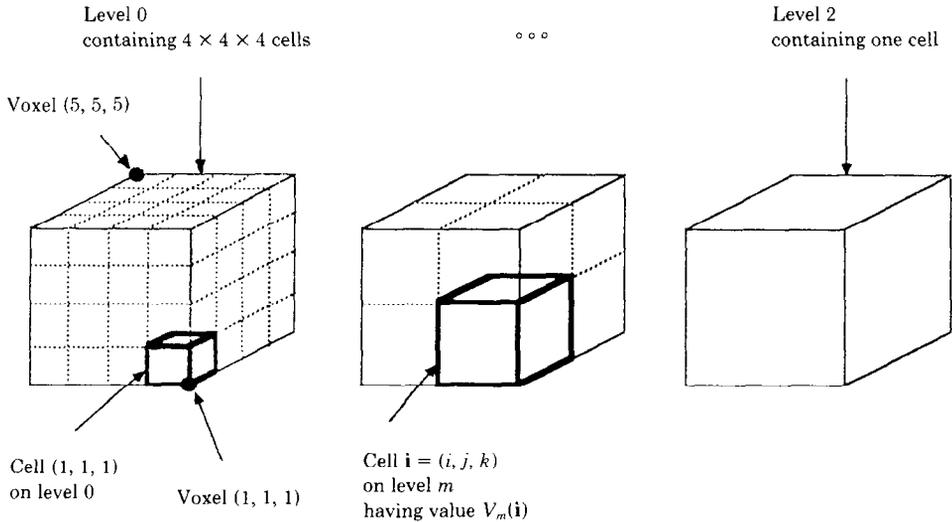


Fig. 3. Hierarchical enumeration of object space for  $N = 5$ .

We now reformulate the ray-tracing, resampling, and compositing steps of our rendering algorithm to use this pyramidal data structure. For each ray, we first compute the point where the ray enters the single cell at the top level. We then traverse the pyramid in the following manner: When we enter a cell, we test its value. If it contains a zero, we advance along the ray to the next cell on the same level. If the parent of the new cell differs from the parent of the old cell, we move up to the parent of the new cell. We do this because if the parent of the new cell is unoccupied we can advance the ray further on our next iteration than if we had remained on a lower level. This ability to advance quickly across empty regions of space is where the algorithm saves its time. If, however, the cell being tested contains a one, we move down one level, entering whichever cell encloses our current location. If we are already at the lowest level, we know that one or more of the eight voxels lying at the vertices of the cell have opacity greater than zero. We then draw samples at evenly spaced locations along that portion of the ray falling within the cell, resample the data at these sample locations, and composite the resulting color and opacity into the color and opacity of the ray.

The second optimization technique we consider is adaptive termination of ray tracing. Our goal is to quickly identify the last sample location along a ray that significantly changes the color of the ray. Returning to eq. (1a), we define a significant color change as one in which  $C_{out}(\mathbf{u}; \mathbf{U}) - C_{in}(\mathbf{u}; \mathbf{U}) > \epsilon$  for some small  $\epsilon > 0$ . Since  $\alpha_{in}(\mathbf{u}; \mathbf{U})$  in eq. (1b) increases monotonically along the ray, no significant color changes occur beyond the point where  $\alpha_{out}(\mathbf{u}; \mathbf{U})$  first exceeds  $1 - \epsilon$ . This becomes our termination criterion. Higher values of  $\epsilon$  reduce rendering time, while lower values reduce image artifacts. For the datasets used in this paper,  $\epsilon = .05$  usually represents a satisfactory compromise.

Combining both of these optimizations gives us the following algorithm:

```

procedure TraceRay2(u) begin
   $\hat{C}(\mathbf{u}) := 0$ ;
   $\alpha(\mathbf{u}) := 0$ ;
   $\mathbf{x} := \text{First}(\mathbf{u})$ ;
   $m := m_{\max}$ ;
  {Loop until beyond data or opacity > threshold}
  while InBounds( $\mathbf{x}$ ) and  $\alpha(\mathbf{u}) \leq 1 - \varepsilon$  do begin
     $i := \text{Index}(m, \mathbf{x})$ ;
    {If high-level cell contains a one, drop a level}
    if  $V_m(i)$  and  $m > m_{\min}$  then  $m := m - 1$ ;
    else begin
      {If level-zero cell contains a one, render it}
      if  $V_m(i)$  then RenderCell( $\mathbf{u}, \mathbf{x}, \text{Next}(m, \mathbf{x}, \mathbf{u})$ );
      {Advance to next cell and maybe jump to higher level}
      while Parent( $m, \text{Index}(m, \text{Next}(m, \mathbf{x}, \mathbf{u}))$ )  $\neq \text{Parent}(m, i)$  and  $m < M$ 
        do begin
           $i := \text{Parent}(m, i)$ ;
           $m := m + 1$ ;
        end
       $\mathbf{x} := \text{Next}(m, \mathbf{x}, \mathbf{u})$ ;
    end
  end
end TraceRay2

procedure RenderCell( $\mathbf{u}, \mathbf{x}_1, \mathbf{x}_2$ ) begin
   $U_1 := \lceil \text{Image}(\mathbf{x}_1) \rceil$ ;
   $U_2 := \lfloor \text{Image}(\mathbf{x}_2) \rfloor$ ;
  {Loop through all samples falling within cell}
  for  $U := U_1$  to  $U_2$  do begin
     $\mathbf{x} := \text{Object}(U)$ ;
    {If any of eight surrounding voxels have opacity > 0,}
    {then resample color and opacity and composite into ray}
    if  $V_0(\text{Index}(0, \mathbf{x}))$  then begin
       $\hat{C}(U) := \text{Sample}(\hat{C}, \mathbf{x})$ ;
       $\alpha(U) := \text{Sample}(\alpha, \mathbf{x})$ ;
       $\hat{C}(\mathbf{u}) := \hat{C}(\mathbf{u}) + \hat{C}(U)(1 - \alpha(\mathbf{u}))$ ;
       $\alpha(\mathbf{u}) := \alpha(\mathbf{u}) + \alpha(U)(1 - \alpha(\mathbf{u}))$ ;
    end
  end
end RenderCell.

```

The *Index* procedure accepts a level number and the object-space coordinates of a point, and returns the index of the cell that contains it. The *Parent* procedure accepts a level number and cell index, and returns the index of the parent cell. The *Next* procedure accepts a level number and a point on a ray, and computes, using a method similar to that in [2], the coordinates of the point where the ray enters the next cell on the same level. The *RenderCell* procedure composites the contribution made to a ray by the specified interval of volume data. The algorithm terminates when the ray leaves the data as detected by the *InBounds* procedure.

Figure 4 shows in two dimensions how a typical ray might traverse a hierarchical enumeration. The level-zero cell corresponding to each nonempty voxel is denoted by a shaded box. The largest empty cell enclosing each empty voxel is

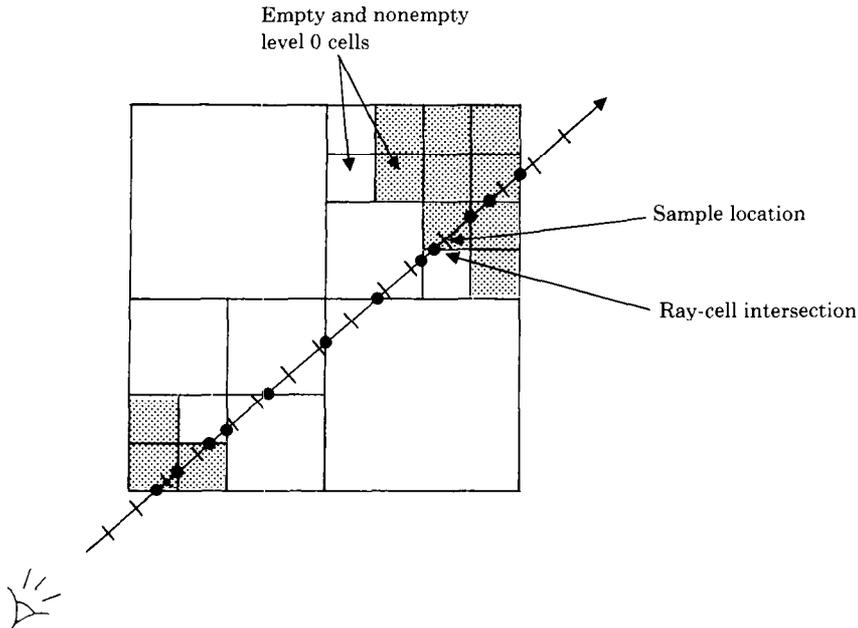


Fig. 4. Ray tracing of hierarchical enumeration.

denoted by an unshaded box. The sequence of points computed by the *Next* procedure are denoted by circular dots. In regions containing many nonempty level-zero cells, the spacing between these dots is close to the spacing between voxels. We are therefore led to ask the question, why not simply resample the data at these points? We observe, however, that these points are not evenly spaced along the ray. If the data are resampled at such nonuniformly spaced points, a noise component may be added to the resulting image [7]. To avoid these artifacts, we superimpose a set of evenly spaced sample locations, as shown by the rectangular tick marks in Figure 4, and then limit ourselves to resampling the data at these locations.

Assuming that we are rendering a nonempty dataset, most cells on the top levels of the pyramid will contain ones. It is therefore inefficient to begin our traversal there. For the datasets used in this paper, traversal costs were minimized by setting  $m_{\max} = M - 2$  for all values of  $M$ . Assuming an orthographic viewing projection, the cost of advancing a ray from one cell to the next by computing ray-cell intersections is higher than the cost of advancing the ray from one sample location to the next using differencing. It is therefore inefficient to descend to level zero. Instead, we descend to some higher level, loop through the sample locations falling within that cell, and render those for which  $V_0(\text{Index}(0, \mathbf{x})) = 1$ . For the current implementation,  $m_{\min} = 2$  yields the best results.

The memory required for the optimized algorithm is  $2N^3$  bytes to hold a monochrome color and opacity for each voxel,  $(8^{M+1} - 1)/7$  bits to hold the pyramid of binary volumes, and  $P^2$  bytes to hold a monochrome output image.

Condensed representations of the pyramid such as linear octrees [12] are possible, although the amount of memory saved would be small compared to the size of the color and opacity arrays, and the cost of accessing a cell would generally be higher.

### 3. COMPARISON TO RAY TRACING OF GEOMETRICALLY DEFINED SCENES

The tracing of rays through coherent regions of empty voxels in volume data is analogous to the tracing of rays through expanses of empty space in geometrically defined scenes. This problem has received much attention in the computer graphics literature (see [4] for an excellent survey), and it is useful to compare the present algorithm to strategies for speeding up ray tracing of geometric scenes.

One such strategy is to place bounding volumes around primitives or groups of primitives. Rays are tested first against these volumes and, if a volume is hit, then against its contents. Bounding volume schemes that have been tried include spheres [34], parallelepipeds [28], extruded extents [19], and convex hulls [20]. These methods can be applied to volume data by fitting geometric primitives to the sample array. Primitives that have been used for this purpose include opaque cubes [18], polygonal meshes constructed from 2-D contours [10], and voxel-sized polygons generated directly from 3-D data samples [24]. The principal drawback of this approach is that fitting of primitives requires making a binary classification of the data. As a result, these methods often exhibit false positives (spurious surfaces) or false negatives (erroneous holes in surfaces), particularly in the presence of small or poorly defined features.

An alternative strategy is to subdivide space into disjoint cells and to associate with each cell a list of primitives that fall wholly or partially inside it. Rays are advanced incrementally through the scene, moving from cell to cell. When a ray enters a cell that contains primitives, the ray is tested against those primitives; when a ray enters a cell marked as empty, the ray is simply advanced to the next cell. Variants of this approach include uniform subdivision of space into a regular 3-D grid of cubic cells [11], adaptive subdivision into parallelepipeds, generalized cubes, or tetrahedrons [8], and adaptive hierarchical subdivision into cubic cells of varying size using octrees [14]. These methods can be applied to a geometric description of the volume data by fitting primitives as described above, or they may be applied directly to the sample array. Specifically, if we treat each data sample as a cell, the resulting regular 3-D grid of cells is analogous to uniform subdivision of a geometric scene. Similarly, octree representations of volume data are analogous to adaptive hierarchical spatial subdivisions of geometric scenes.

The analogy between spatial enumeration of volume data and spatial subdivision of a geometric scene is not exact, however, and comparisons made in the literature between competing schemes for subdividing geometric scenes do not scale well when applied to volume data. In particular, spatial subdivisions of geometric scenes typically consist of hundreds of cells each containing many primitives [6], whereas volume datasets consist of tens of millions of spatially ordered cells each containing a single data sample. Several researchers [2, 6, 11]

have reported that, for the geometric scenes they have tested, uniform subdivision outperforms hierarchical subdivision. For the volume datasets considered in this paper, a hierarchical data structure is more efficient.

#### 4. IMPLEMENTATION AND RESULTS

To understand how the algorithm behaves on typical scenes, let us consider some examples. The characteristics of three datasets are given in Table I. The first is a computerized tomography (CT) study of a human skull mounted in a Lucite head cast. To demonstrate the effect of semitransparent surfaces on the performance of the algorithm, this dataset was rendered twice, once with a semitransparent air-Lucite boundary surface (Figure 5) and once with a completely transparent boundary surface (Figure 6). The second dataset is a portion of an electron density map of staphylococcus aureus ribonuclease. A volume rendering of an isovalue surface from this map is shown in Figure 7. The polymer backbone crosses the image from bottom to top, and two tyrosine residues with their characteristic six-atom benzene rings can be seen extending to the left and right sides of the backbone. A color-coded stick representation of the molecular structure has been superimposed on the image to aid in its interpretation. To study the growth of rendering cost with respect to dataset size, this dataset was rendered at three different spatial resolutions, the largest of which is shown in Figure 7. The last dataset is a CT study of a complete human head, a volume rendering of which is shown in Figure 8.

The time required to calculate voxel opacities for a dataset is proportional to the number of voxels it contains. The time required to calculate voxel colors is proportional to the number of nonempty voxels (voxels whose opacity is nonzero). For the  $256 \times 128 \times 113$  voxel jaw with semitransparent skin (Figure 5), these two steps look 45 s and 15 s, respectively, on a Sun 4/280. The time required to construct a pyramid of binary volumes is proportional to the number of cells the pyramid contains. The computation of each cell requires accessing eight spatially adjacent locations in a 4-D array and performing seven logical "or" operations. For Figure 5 this step took about 30 s. Since the pyramid depends on the array of opacities rather than on the original data, it must be recomputed whenever these opacities change. The pyramid is independent of observer position, however, and can be used to efficiently generate multiple views from a single set of opacities.

The combined costs of ray tracing, resampling, and compositing for all three datasets are summarized in Table II. Separate entries are provided for the brute-force algorithm, the optimized algorithm with adaptive termination of ray tracing disabled by setting  $\epsilon = 0$ , and the fully optimized algorithm with  $\epsilon = .05$ . As the table shows, hierarchical enumeration reduced rendering time by a factor of between 2.0 and 5.0 for this data, and adaptive termination of ray tracing added another factor of between 1.3 and 2.2. We also observe that adding a semitransparent surface to the rendering of the skull fragment decreased the amount of time saved but did not eliminate the savings completely. We finally note that doubling the spatial resolution of the electron density map increased rendering time by roughly a factor of eight for the brute-force algorithm and five for the optimized algorithm.

Table I. Characteristics of Datasets

Name	Figure	Acquired size	Scaling factor	Size after scaling	Samples drawn	Samples with $\alpha > 0$	Percentage
Jaw with skin	6	$256 \times 128 \times 59$	$1 \times 1 \times 2$	$256 \times 128 \times 113$	3,541,851	594,472	17
Jaw without skin	7	$256 \times 128 \times 59$	$1 \times 1 \times 2$	$256 \times 128 \times 113$	3,541,851	335,751	9
Ribonuclease	8	$24 \times 20 \times 11$	$12 \times 12 \times 12$	$288 \times 244 \times 132$	7,067,842	810,542	11
Ribonuclease	—	$24 \times 20 \times 11$	$6 \times 6 \times 6$	$144 \times 120 \times 66$	825,465	160,747	19
Ribonuclease	—	$24 \times 20 \times 11$	$3 \times 3 \times 3$	$72 \times 60 \times 33$	92,724	25,531	27
Head	9	$256 \times 256 \times 113$	$1 \times 1 \times 2$	$256 \times 256 \times 226$	14,081,917	1,249,458	9

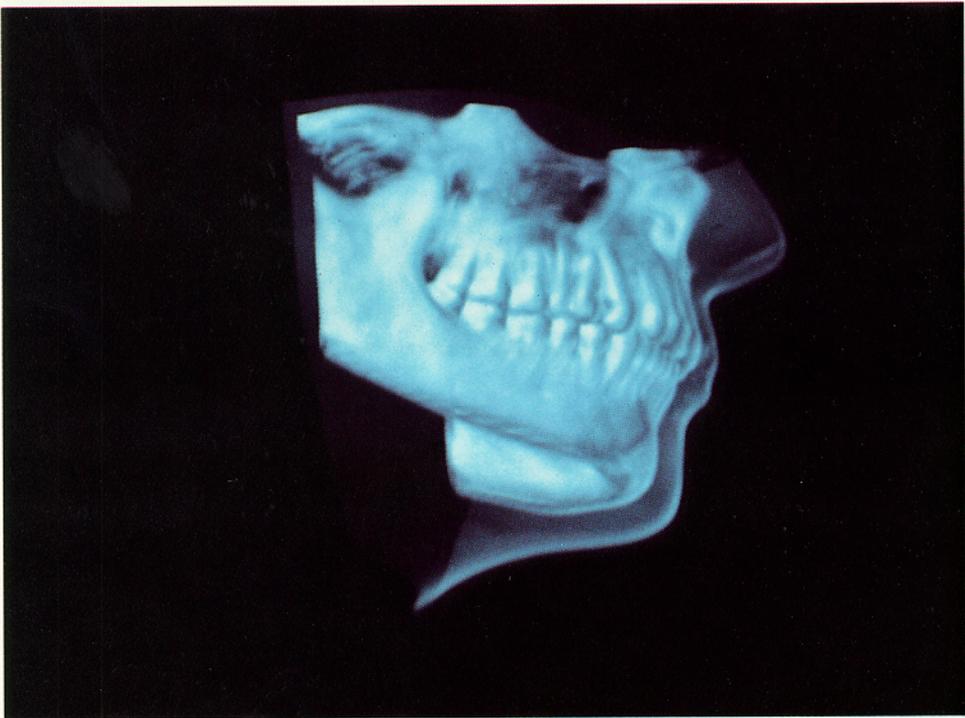


Fig. 5. Volume rendering of jaw with semitransparent skin.

To help us interpret these results, the cost of generating Figure 8 has been broken down into its constituent parts. Using the brute-force rendering algorithm described in Section 1, the cost of finding all nonempty samples along a ray is proportional to the length of the ray clipped to the boundaries of the dataset. For the observer position used in Figure 8, a visualization of this cost is shown in Figure 9a. Brighter pixels represent more work. The image is essentially an X ray of a cube of uniform density. The cost of resampling and compositing the nonempty samples along a ray is proportional to the number found along the ray. For the dataset under consideration, a visualization of this cost is shown in Figure 9b. This image is essentially an X ray of a binary representation of the

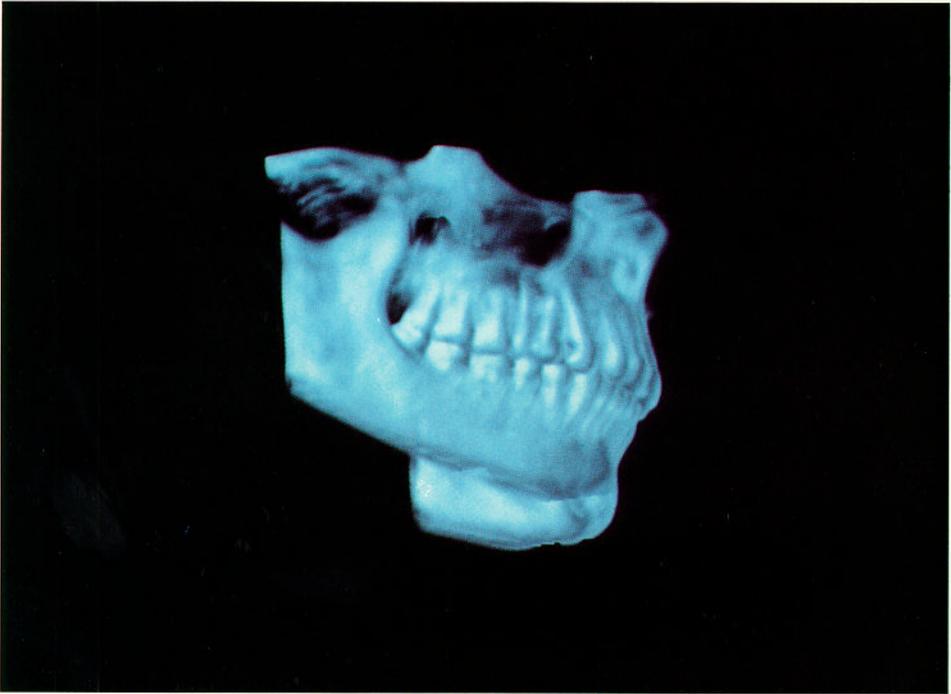


Fig. 6. Volume rendering of jaw without skin.



Fig. 7. Volume rendering of ribonuclease.



Fig. 8. Volume rendering of head.

Table II. Rendering Times

Name	Figure	Brute force (s)	Hierarchical enumeration (s)	Enumeration and adaptive termination (s)	Column 1	Column 2	Column 1
					Column 2	Column 3	Column 3
Jaw with skin	6	293	94	57	3.1	1.6	5.1
Jaw without skin	7	288	61	39	4.7	1.6	7.4
Ribonuclease	8	571	146	75	3.9	1.9	7.6
Ribonuclease	—	68	27	15	2.5	1.8	4.5
Ribonuclease	—	8	4	3	2.0	1.9	2.7
Head	9	1.183	238	105	5.0	2.2	11.3

data. As expected, it is brightest along silhouettes where rays pass through large amounts of bony material. The total cost of rendering Figure 8 using the brute-force algorithm is a weighted sum of Figures 9a and b.

Using hierarchical enumeration, the cost of finding all nonempty samples along a ray is proportional to the number of iterations through the outer loop in the *TraceRay*<sub>2</sub> procedure plus the number of tests of level-zero cells performed in the *RenderCell* procedure. A visualization of this cost is shown in Figure 10a. This image is essentially an X ray of an octree. The cost of resampling and compositing the nonempty samples is shown in Figure 10b. Since the use of hierarchical

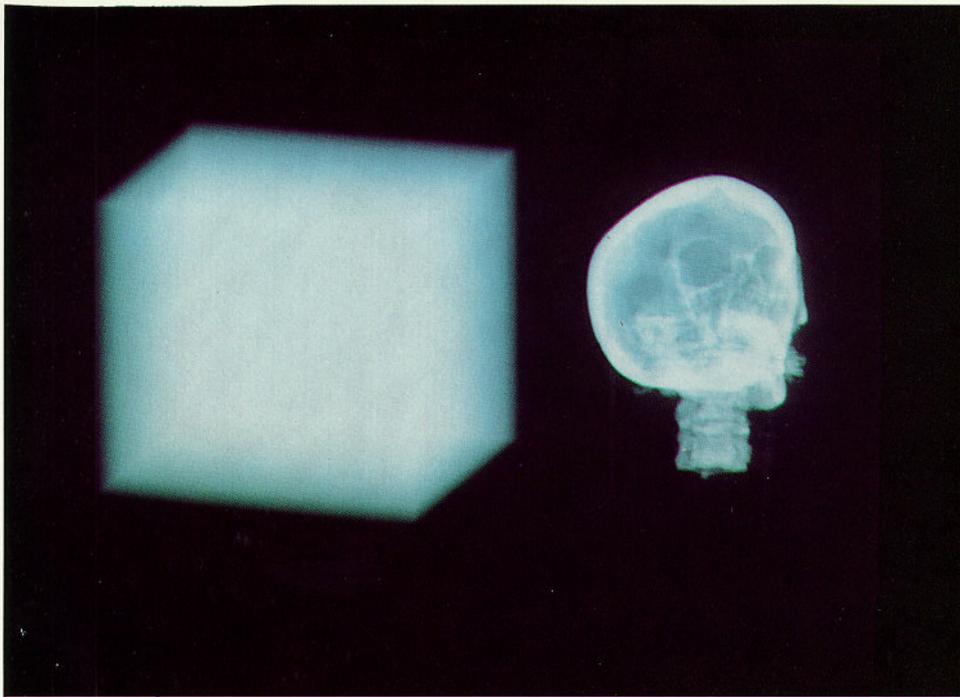


Fig. 9. Costs of rendering Figure 8 using brute-force algorithm.

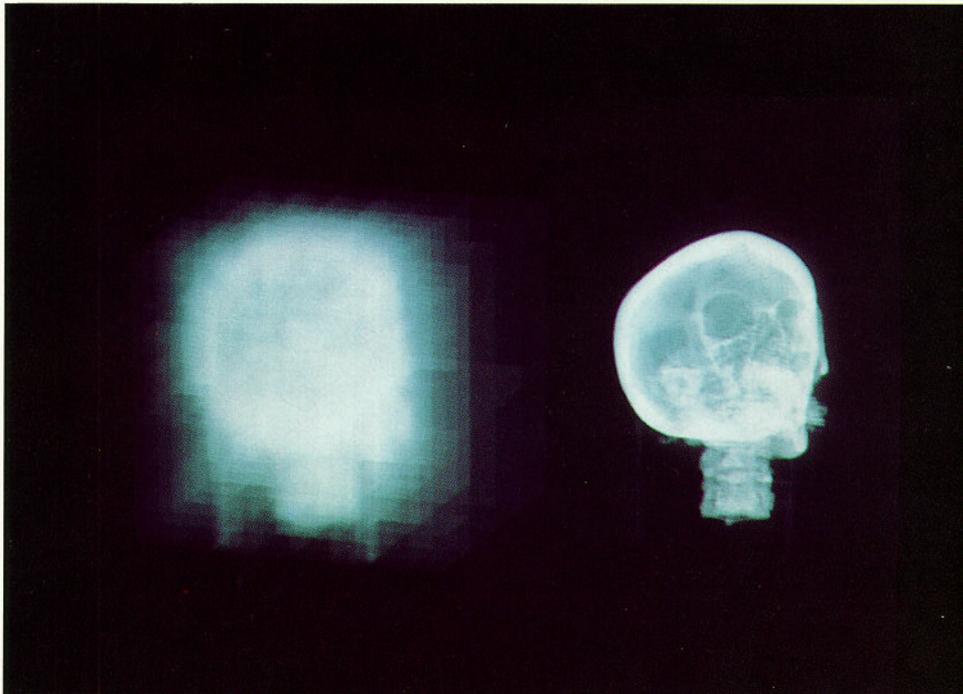


Fig. 10. Costs of rendering Figure 8 using hierarchical enumeration.

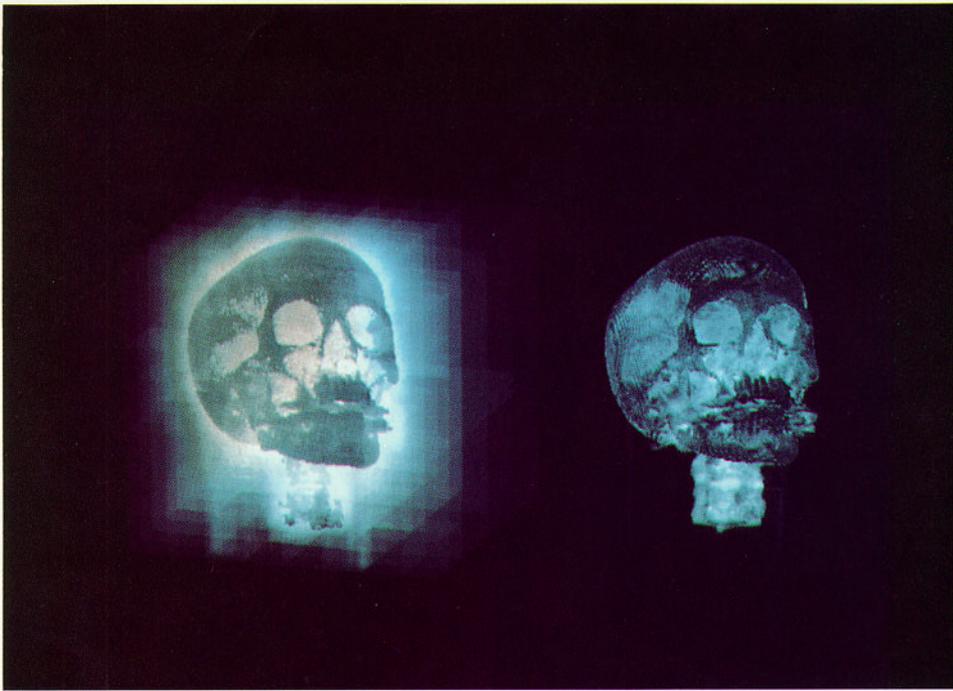


Fig. 11. Costs of rendering Figure 8 using hierarchical enumeration and adaptive termination.

enumeration alone does not reduce the number of samples composited, Figure 10b is identical to Figure 9b. The total cost of rendering Figure 8 using hierarchical enumeration is a weighted sum of Figures 10a and b.

Adaptive termination of ray tracing reduces the number of nonempty samples that must be found. For  $\epsilon = .05$ , a visualization of the reduced cost is shown in Figure 11a. In regions where fewer samples are processed, resampling and compositing costs drop as well, as shown in Figure 11b. The total cost of rendering Figure 8 using both optimization techniques is a weighted sum of Figures 11a and b.

## 5. CONCLUSIONS

An algorithm for efficiently visualizing sampled scalar or vector fields of three spatial dimensions has been described. The algorithm employs both hierarchical spatial enumeration and adaptive termination of ray tracing to reduce rendering costs. Any opacity assignment operator that partitions a volume dataset into coherent regions of opaque and transparent voxels is a candidate for this algorithm. Although the amount of time saved depends on the depth complexity of the partitioned scene, savings of more than an order of magnitude have been observed for many datasets.

If there is coherence present in a dataset, there may also be coherence present in its projections. This is particularly true for data acquired from sensing devices, where the acquisition process often introduces considerable blurring. We can

take advantage of this coherence by casting a sparse grid of rays, less than one per pixel, and adaptively increasing the number of rays in regions of high image complexity. Images may be formed from the resulting nonuniform array of sample colors by interpolation and resampling at the display resolution. In many cases, this optimization reduces rendering time by another order of magnitude [23]. Alternatively, a sequence of successively more refined images can be generated at equally spaced intervals of time by casting more rays, adding the resulting colors to the sample array, and repeating the interpolation and resampling steps.

A strategy used to speed up ray tracing of geometrically defined scenes that has not been addressed in this paper is to group together rays emanating from similar locations and traveling in similar directions. Specific techniques include the light buffer of [16], the ray coherence algorithm of [26], and the ray classification algorithm of [3]. In the present algorithm, an orthographic viewing projection is used, and shadowing, reflection, and refraction are not supported. All rays consequently travel in the same direction. Many volume-rendering systems offer a perspective viewing projection, however, and the author has developed algorithms for casting shadows through volume data [22]. Directional data structures might be useful in these cases. Other ray-tracing techniques that might be applicable to volume rendering include generalized rays such as beams [17], cones [1], and pencils [31], and statistical optimizations such as distributed ray tracing [7] and frame-to-frame coherence [5].

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