

Lecture 12: 5/12/2009

Announcements: Ps7 out today. Ps6, Midterm solutions out soon. Midterm info on Web page.

Midterm back, 12

Asymptotics (review)

$$O(g) = \{f: \mathbb{N} \rightarrow \mathbb{R}: \exists C, N \text{ s.t.} \\ f(n) \leq C g(n) \text{ for all } n \geq N\}$$

$$\Theta(g) = \{f: \mathbb{N} \rightarrow \mathbb{R}: \exists c, C, N \text{ s.t.} \\ c g(n) \leq f(n) \leq C g(n) \text{ for all } n \geq N\}$$

Example:

True/False:

If f is $\Theta(n^2)$ then f is $O(n^2)$ TRUE

$n! = O(2^n)$ NO

$n! = O(n^n)$ YES

(Truth: $n! = \Theta((n/e)^n \sqrt{n})$)

Claim: $H_n = 1/1 + 1/2 + \dots + 1/n = O(\lg n)$

Draw picture.

Upperbound by $1 + \int_1^n (1/x)dx = 1 + \ln(n) = O(\lg n)$

show Could I write $O(\log n)$

Sure; $O(\log n) = O(\lg n)$

(following not done in class): Compute the asymptotic running time of the following algorithm:

Given: a formula ϕ , decide if ϕ is satisfiable

by trying all possible truth assignments.

Suppose ϕ has n variables and takes m bits to write down.

Answer: Need to try 2^n t.a. How long to try each? $O(m)$.

All together? $O(m 2^n)$.

One reason asymptotic notation handy:

$O(n^2) + O(n^2) = O(n^2)$
 $O(n^2) + O(n^3) = O(n^3)$
 $O(n \log n) + O(n) = O(n \log n)$
etc.

A reason we use asymptotic notation: model independence

How long does the following code take to run, for searching for x in an array A :

```
for i=1 to n do
  (If ( $A[i]=x$ ) Return( $i$ );
Return "not found"
```

Takes at most $c_1 * n + c_2$ where c_1 is the work to do one iteration of the for loop, and c_2 is the max time for the final return. The total time is $O(n)$.

Faster algorithms can do this in $O(\log n)$ time or even $O(1)$ if we can preprocess the array A .

How long to sort by selection sort? (repeatedly find the smallest remaining element)

$O(n^2)$

Already enough to distinguish from more sophisticated algorithms.

2. How big is that infinity? (3.7 in book)

Puzzle: Which are there more of: natural number or integers?

Mathematicians answer: they are the same. Not because they are both infinite, but because there is a bijective function
 $f: \mathbb{N} \rightarrow \mathbb{Z}$

Recall

e.g,
 $f(x) = \lceil x/2 \rceil$ if x is odd
 $-x/2 + 1$ if x is even

Is there a bijective function from \mathbb{Z} to \mathbb{N}

Answer 1: yes, automatic from above

Answer 2, yes, $f(x) = -2x$ if x even

$2x-1$ if x is odd

Puzzle: is there a bijective function from N to $N \times N$ (or equivalently to Q^+).

Technique: "Dovetailing" // Consider a 2-D matrix with the numbers 1,2, ... on the top and right axis. Label the $[1,1]$ entry 1, then the $[1,2]$ entry 2 and the $[2,1]$ entry 3 (going top to bottom along the diagonal going from right to left). Then for the next diagonal give $[1,3]$, $[2,2]$, $[3,1]$ 4,5,6 respectively. Continue on each diagonal ...

Definition: Let A and B be sets. We say that A is *equinumerous* to B , $A \sim B$, if there is a bijection f from A to B .

Definitions: (not all of these below were done in class)

A set is *finite* if it is equinumerous to $I_n = \{1, \dots, n\}$ for some $n \in N$.

A set is *infinite* if it is not finite.

A set is *countably infinite* if it is equinumerous to N

A set is *countable* if it is finite or countably infinite.

A set is *uncountable* if it is not countable.

Proposition: \sim is an equivalence relation

Proposition: Q is countably infinite.

Proposition: if A and B are countable then so is $A \times B$ and $A \cup B$

Theorem: $P(N)$ is uncountable. (the powerset of the natural numbers)

Proof. Suppose for contradiction that $P(N)$ were countable:

say $P(N) = \{A_1, A_2, \dots\}$

We construct a subset B of N as follows

B contains i iff i is not in A_i

Now B is a subset of N so it must be in the enumeration: say $B = A_j$

But: is $j \in B$?

$j \in B$ iff $j \notin A_j = B$

Contradiction.

Definition: For sets A, B , write $A \leq B$ if there exists a one-to-one function $f: A \rightarrow B$.

Theorem: [Cantor-Schröder-Bernstein] [not done in class]
If $A \leq B$ and $B \leq A$ then $A \sim B$